

**PCT**WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification :</b> <b>C12N 15/11, 15/12, 15/86, 15/88, C12P 21/00</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 95/19434</b> <b>(43) International Publication Date:</b> 20 July 1995 (20.07.95)
<b>(21) International Application Number:</b> PCT/US95/00845 <b>(22) International Filing Date:</b> 12 January 1995 (12.01.95)  <b>(30) Priority Data:</b> 08/182,247 13 January 1994 (13.01.94) US  <b>(71) Applicant:</b> CALYDON, INC. [US/US]; 133 Hillview Drive, Menlo Park, CA 94025 (US). <b>(72) Inventor:</b> HENDERSON, Daniel, R.; 769 Garland Drive, Palo Alto, CA 94303 (US). <b>(74) Agent:</b> ROWLAND, Bertram, I.; Flehr, Hohbach, Test, Albritton & Herbert, Suite 3400, 4 Embarcadero Center, San Francisco, CA 94111-4187 (US).		<b>(81) Designated States:</b> AU, CA, JP, MX, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> TISSUE-SPECIFIC ENHANCER ACTIVE IN PROSTATE  <b>(57) Abstract</b>  The invention provides a human prostate-specific transcriptional regulatory sequence, polynucleotide comprising such regulatory regions, toxin gene constructs wherein a toxin gene is expressed under the transcriptional control of a human prostate-specific transcriptional regulatory sequence, and methods for treating prostate disease using such toxin gene constructs.		

## TISSUE-SPECIFIC ENHANCER ACTIVE IN PROSTATE

### FIELD OF THE INVENTION

The invention provides novel transcriptional regulatory elements (enhancers) which preferentially enhance the net transcription of cis-linked transcription units in prostate tissue. The tissue-specific prostate enhancers of the present invention are preferentially active in prostatic cells as compared with other tissues. The invention also provides compositions suitable for genetic therapy of prostate hyperplasia and neoplasia, and methods for treating such disease conditions using the novel compositions, which comprise polynucleotides suitable for use as transgenes and/or gene-targeting constructs.

### BACKGROUND

#### BPH. Prostate Neoplasia and Treatment Modalities

There are three significant diseases of the prostate: benign prostate hyperplasia (BPH), prostate cancer, and prostatitis. The costs of these three diseases are immense. In 1985, the annual treatment of prostate diseases in the US required 4.4 million physician visits, 836,000 hospitalizations, and cost over \$3 billion. In 1985, the costs for BPH, prostate cancer, and prostatitis were \$1.82, \$0.97, and \$0.29 billion respectively. Clearly these diseases represent a significant percentage of the American health care dollar. In addition prostate cancer caused 39,215 deaths. BPH and prostate cancer are diseases of men over 50. Due to the aging US population, the incidence of

BPH and prostate cancer will increase 50% in the next 15 years.

BPH causes urinary obstruction resulting in urinary incontinence. It occurs in almost 80% of men by the age of 80. Unregulated dihydrotestosterone is believed to cause hyperplastic prostate growth in aging men. Pharmacotherapy for the treatment of BPH is currently aimed to relax prostate smooth muscle (alpha blockade) and decrease prostate volume (androgen suppression). Phase III clinical trials are underway evaluating selective alpha<sub>1</sub> blockers, anti-androgens, and 5-alpha reductase inhibitors for the treatment of BPH. The most promising of these is finasteride. It has shown an ability to cause regression of the hyperplastic prostate gland in a majority of patients (Mocellini et.al. (1993) Prostate 22:291).

BPH is treated surgically with a transurethral resection of the prostate (TURP). This procedure is most common: 500,000 TURPs are performed in the US each year and 25% of men will require surgery at some time in their lives to alleviate urinary obstruction. This makes BPH the second most common cause of surgery in males after cataract surgery. The TURP procedure requires several days hospitalization as well as the surgery itself. The average medical reimbursement cost of a TURP in 1987 dollars was \$8,000; in 1993 dollars this is \$14,000. Unfortunately, a side-effect of the TURP is the elimination of the ejaculatory ducts resulting in impotence in 90% of patients. A TURP is prefaced by an outpatient biopsy procedure to determine if the enlargement of the prostate is benign or cancerous.

Prostate cancer is the second most common cause of cancer death in American males where only lung cancer is greater. Prostate cancer is a latent disease; many men carry prostate cancer cells without overt signs of disease. Autopsies of individuals dying of other causes show prostate cancer cells in 30% of men at age 50; by the age of 80 years, the

prevalence is 60% of prostates. Further, prostate cancer can take up to 10 years to kill the patient after initial diagnosis. Prostate cancer is newly diagnosed in slightly over 100,000 men in the US. each year of which over 40,000  
5 will die of the disease. There is also high morbidity. Cancer metastasis to bone (late stage) is common and often associated with uncontrollable pain. Metastasis also occurs to lymph nodes (early stage).

The progression of the disease is from a well-defined mass  
10 within the prostate, to a breakdown and invasion of the lateral margins of the prostate, to metastasis to regional lymph nodes, to metastasis to the bone marrow. The aggressiveness of prostate tumors varies widely. Some tumors are relatively aggressive, doubling every six months,  
15 whereas other are extremely slow-growing, doubling once every five years. As a consequence of the slow growth rate, few cancer cells are actively dividing at any one time. As a result, prostate cancer is generally resistant to radiation and chemotherapy, although both therapeutic  
20 modalities are widely used. Surgery is the mainstay of treatment but it too is largely ineffective and also removes the ejaculatory ducts, resulting in impotence.

Unfortunately, in 80% of cases, diagnosis of prostate cancer is established when the disease has already metastasized to  
25 the bones. Of special interest is the observation that prostate cancers frequently grow more rapidly in sites of metastasis than within the prostate itself, the site of the primary cancer.

The diagnosis and management of prostate cancer has become  
30 simplified with the use of measurement of serum levels of prostate-specific antigen. Prostate-specific antigen (PSA) is a protease involved in the breakdown of the ejaculate coagulum. Serum levels of PSA vary from 2-4 ng/ml and usually a single determination of an individual's PSA level  
35 is meaningless. Most frequently PSA levels are elevated in

both prostate cancer and BPH. A serum PSA level of over 4 ng/ml usually warrants further investigation. Even more telling are rapid increases in serum PSA levels which can indicate active prostate cancer. A rapid rise in PSA levels from 2-4 ng/ml to over 10 ng/ml indicates active disease (Hamdy, F.C., et al. (1992) Br. J. Urol. 69:392). In end-stage metastatic disease, PSA levels can reach 200 ng/ml. PSA is a single amino acid chain of 240 AA and has been cloned (Lundwall A. and Lilja H. (1987) FEBS Lett 214:317; 10 Lundwall A (1989) Biochem. Biophys. Res. Comm. 161:1151; Riegman et al. (1989) Biochem. Biophys. Res. Comm. 159:95).

For the treatment of prostate cancer oral estrogens and luteinizing releasing hormone analogs are used as well as surgical removal of glands that produce androgens (orchiectomy or adrenalectomy). The Nobel prize was awarded 15 in 1966 to Charles Kuggins for utilizing castration for treatment of prostate cancer. Many patients showed marked improvement after castration, but this was only temporary relief. Most of these cancers soon relapsed and presented 20 as a therapeutically resistant form that ultimately caused death. Current therapeutic techniques use chemical forms of medical castration by shutting down androgen production in the testes, or directly block androgen production in the prostate.

25 Estrogens are no longer recommended for therapy because of serious, even lethal, cardiovascular complications. Luteinizing hormone releasing hormone (LHRH) analogs are used instead. LHRH analogs are equally effective when compared to estrogens, or orchiectomy. LHRH treatments are 30 reversible, do not involve surgery, and do not impact the patient psychologically. Thus, this treatment is preferable for producing androgenic deprivation. LHRH analogs initially increase pituitary LH secretion with a subsequent increase in serum testosterone. This results in a disease "flare" 35 that rapidly subsides as the initial increase in LHRH-mediated LH secretion is reversed when over stimulation of

pituitary LHRH receptors leads to a shutdown in their function and a consequent fall in LH secretion, and thus, testicular testosterone production (Redding et al. (1982) Proc. Natl. Acad. Sci. 79:1273). However, hormonal therapy

5 invariably fails with time with the development of hormone-resistant tumor cells. It is not known whether these cells develop as a mutation of the original hormone sensitive cells, or as a separate class of cells. However, since 20% of patients fail to respond to hormonal therapy, it is

10 believed that hormone-resistant cells are present at the onset of therapy.

Estramustine, a steroidal nitrogen mustard derivative, is undergoing clinical trials for advanced stage prostate cancer. Estramustine was originally thought to be suitable

15 for targeted drug delivery through conjugation of estrogen to toxic nitrogen mustard. Surprisingly however, estramustine has no alkylating or hormonal effects. Rather, estramustine disassembles microtubules inhibiting cell division. Phase II and Phase III clinical trials over the

20 past 15 years have been disappointing when survival is used as an endpoint.

Finasteride, a 4-aza steroid (Proscar® from Merck & Co.) inhibits 5 $\alpha$ -reductase, the enzyme responsible for the intracellular conversion of testosterone to

25 dihydrotestosterone in the stroma of the prostate. Since dihydrotestosterone is the most potent androgen in the prostate, its elimination causes regression of prostate cancer by as much as 40% in volume. Casodexthin® is thought to inhibit cellular uptake of testosterone by blocking

30 androgen receptors in the nucleus. However, almost all advanced cancer prostate cells fail to respond to androgen deprivation. At this stage there is no effective cytotoxic chemotherapy for prostate cancer.

A major, indeed the overwhelming, obstacle to cancer therapy is the problem of selectivity; that is, the ability to inhibit the multiplication of tumor cells, while leaving unaffected the function of normal cells. Thus, the therapeutic ratio, or ratio of tumor cell killing to normal cell killing of traditional tumor chemotherapy, is only 1.5:1. Thus, more effective treatment methods and pharmaceutical compositions for therapy and prophylaxis of prostatic hyperplasia and neoplasia are needed.

10                                   Transcriptional Regulatory Elements

Methods and compositions are provided for prostate cell specific transcription, particularly human prostate cells comprising prostate specific antigen, a glandular kallikrein. An approximately 2.5 kbp fragment from about -2850 to about -5350 serves as a prostate specific enhancer ("PSE") in conjunction with a promoter to function to initiate transcription in prostate cells. Constructions are provided for identifying cells which have the transcriptional components for regulating transcription in conjunction with the PSE and for genetically modifying neoplastic prostate cells to inhibit proliferation. The constructs employ the PSE in conjunction with a promoter region, particularly the 541 bp promoter region of the prostate-specific antigen, and a gene encoding a protein which permits selective ablation of prostate cells, particularly neoplastic prostate cells. Treatment of neoplastic cells comprises the introduction of the construct into neoplastic cells for specific ablation of the neoplastic cells.

30 Exploiting differential gene expression in neoplastic and hyperplastic cells represents one means for selectively killing such abnormal cells. The control of gene expression in various cell types commonly involved in neoplasia has been studied.

Recently, highly specific enhancers/promoters have been identified; that is, DNA sequences to which are bound proteins (e.g., transcription factors) that only exist in certain types of cells and which modulate the transcriptional activity of cis-linked DNA sequences. These enhancer-binding proteins are activators of transcription that regulate the expression of certain genes that are therefore expressed only in these cells and/or become transcriptionally active under certain conditions (e.g., when bound to a specific hormone, then phosphorylated, when certain other proteins are present). A number of transcriptionally active enhancer elements have been reported. Steroid-regulated enhancer elements have been identified and generally bind to ligand-bound steroid receptors (Nawaz et al. (1992) Gene Expr. 2: 39; Allan et al. (1991) 3 Biol. Chem. 266: 5905; Ozono et al. (1991) J. Biol. Chem. 265: 21881; Meyer et al. (1989) Cell 57: 443; Bagchi et al. (1988) Mol. Endocrinol. : 1221; Bradshaw et al. (1988) Mol. Endocrinol. 2 (12): 1286; Weinberger et al. (1987) Clin. Physiol. Biochem. 5: 179). Associated with expression of the prostate specific antigen is an androgen response element at position -175 to -155. A variety of tissue-specific enhancers and promoters have also been identified in numerous tissues, including liver (Rouet et al. (1992) J. Biol. Chem. 267: 20765; Lemaigue et al. (1993) J. Biol. Chem. 268: 19896; Nitsch et al. (1993) Mol. Cell. Biol. 13: 4494), stomach (Kovarik et al. (1993) J. Biol. Chem. 268: 9917), and pituitary gland (Rhodes et al. (1993) Genes Dev. 7: 913), among others.

Palmiter et al. (1987) Cell 50: 435, reports a strategy for using a pancreas-specific elastase I promoter/enhancer linked to a diphtheria toxin gene to form a chimeric transgene which, when introduced into fertilized murine eggs by micro-injection, can be used to generate a transgenic mouse wherein cells which normally express the elastase I gene are selectively deleted as a result of the expression of the diphtheria toxin encoded by the transgene. Similar



strategies have also been used to produce transgenic mice lacking growth-hormone expressing cells (Behringer et al. (1988) Genes Dev. 2: 453) and transgenic mice that are deficient in Schwann cells (Messing et al. (1992) Neuron 8: 507).

The prostate-specific antigen (PSA) gene is preferentially expressed in prostate cells and has been cloned (Lundwall A and Lilja H (1987) FEBS Lett 214: 317; Lundwall A (1989) Biochem. Biophys. Res. Commun. 161: 1151; Riegmann et al. (1991) Molec. Endocrinol. 5: 1921).

However, tissue-specific enhancers and promoters which are active in prostate cells, and particularly in neoplastic or hyperplastic prostate cells, would be useful to those in the art, as would constructs suitable for therapeutic ablation of prostate tissue, especially neoplastic prostate epithelium. Therapy based on cell-specific transcriptional regulatory elements would provide a therapeutic modality which likely would be cell-type specific. For such an approach to be used for treating BPH and/or prostate cancer, it would be advantageous to have transcriptional regulatory elements which are preferentially active in prostate acinar cells, from which nearly all metastatic prostate carcinomas arise (Ghadzizadel et al. (1984) Urol. Int. 39: 9). Targeting acinar cells should leave the prostate stromal cells relatively unaffected, and retain the ejaculatory ducts and urethra that pass through it. This would be a significant advantage over present surgical approaches. The present invention fulfills these and other needs.

The references discussed herein are provided solely for their disclosure prior to the filing date of the present application. Nothing herein is to be construed as an admission that the inventors are not entitled to antedate such disclosure by virtue of prior invention. All cited publications are incorporated herein by reference.

### 35 SUMMARY OF THE INVENTION

In accordance with the foregoing, in one aspect of the invention is provided transcriptional regulatory elements, such as enhancers and promoters, which activate transcription of cis-linked sequences in prostate cells in a tissue-specific manner. Such elements are typically present in or adjacent to genes which are expressed preferentially in prostate cells but substantially not expressed in other cell types.

In one embodiment, the transcriptional regulatory element comprises an enhancer element present in the upstream flanking region of the prostate-specific antigen (PSA) gene, wherein said enhancer activates transcription of cis-linked sequences in prostate cells (e.g., prostate epithelium). In one variation, the transcriptional regulatory element comprises an approximately 5.3 kb fragment of the region immediately upstream of the human PSA gene; this 5.3 kb fragment is frequently isolated as a XbaI-HindIII fragment but restriction site polymorphisms may exist. In another variation, as much or more than 2.5 kb can be deleted internally within the approximately 5.3 kb fragment.

In one aspect of the invention are provided polynucleotides comprising a transcriptional regulatory element that activates transcription of cis-linked sequences in prostate cells such as neoplastic or hyperplastic prostate cells. Typically, such polynucleotides further comprise a structural gene (e. g., a cDNA or genomic gene or minigene) or an antisense sequence operably linked to the transcriptional regulatory element forming a transcription unit. Such a transcription unit generally comprises a structural gene operably linked to a promoter and optionally also a prostate-specific enhancer (i.e., an enhancer element functional in prostate cells but substantially inactive in other cell types). Most usually, the polynucleotides of the invention are used as transgenes and/or homologous targeting constructs and are typically dsDNA constructs.

In one variation, the polynucleotide comprises a transcriptional regulatory element which is expressed preferentially in prostate cells (preferably in neoplastic and/or hyperplastic prostate cells) and which is used to drive the expression of an operably linked toxin gene encoding a cytotoxic or cytostatic gene product. The toxin gene is expressed in prostate cells which have incorporated the polynucleotide, thereby ablating said prostate cells. Delivery of such polynucleotides to neoplastic or hyperplastic prostate cells results in specific ablation of undesired prostate cells for therapy or prophylaxis of benign prostatic hypertrophy, prostate neoplasia, and the like.

In one embodiment, the invention provides a method for treating or preventing benign prostatic hypertrophy and prostate cancer. The method comprises delivering a polynucleotide consisting essentially of toxin gene operably linked to a prostate-specific transcriptional regulatory element (i.e., promoter and/or enhancer) which is/are preferentially transcriptionally active in neoplastic or hyperplastic prostate cells. A preferred transcriptional regulatory element is a segment upstream of the prostate-specific antigen (PSA) gene which confers prostate-specific expression of a cis-linked gene sequence when transfected into cell expressing PSA. The segment of interest is encompassed in an upstream segment from the transcription initiation site of less than about 10 kbp. Commonly, the upstream segment comprises an approximately 6.0, particularly 5.3 kb, segment immediately upstream from the major PSA transcription initiation site, beginning at +16, particularly 0; often the 5.3 kb segment is conveniently isolated as a XbaI-HindIII fragment. In the method, the polynucleotide construct is typically delivered to prostate tissues (e.g., a prostate tumor mass) as dsDNA, either as naked DNA, as DNA-lipid complexes, by viral delivery, or the like.

In a variation of the invention, a prostate-specific transcriptional regulatory element is operably linked to a gene encoding an immunogenic antigen which is highly visible to the immune system (i.e., readily identified by and  
5 reacted against by cytotoxic immune cells). Cells expressing the antigen are hereby rendered susceptible to ablation by, for example, natural killer (NK) cells and the like. Frequently, the antigen is a human immunoglobulin  $\kappa$ V region, SV40 large T antigen, or spike glycoproteins of  
10 enveloped viruses (e.g., glycoprotein H of human cytomegalovirus (hCMV)). Such polynucleotides can be used to advantage for treating prostate hypertrophy and/or prostatic aplasia by eliciting an immune response against the tumor cells which incorporate and express the cis-linked antigen  
15 gene. The invention also provides a method of treating prostatic hypertrophy and prostatic neoplasia by administering a polynucleotide comprising a PSA gene transcriptional regulatory element operably linked to an antigen gene (e . g., immunoglobulin  $\kappa$ V region, SV40 large T).

20 In another aspect of the invention are provided polynucleotides comprising prostate-specific gene transcriptional regulatory element operably linked to an gene encoding a lymphokine which activates an anti-tumor immune response (e.g., increased NK activity). Typically,  
25 such activating lymphokines include but are not limited to: IL1, IL-2, IL-12, GM-CSF, IFN $\alpha$ , IFN $\beta$ , IFN $\gamma$ , and the like. Frequently, the transcriptional regulatory element is a PSA gene promoter/enhancer. Polynucleotide constructs comprising a prostate-specific gene transcriptional  
30 regulatory element operably linked to an activating lymphokine gene are introduced into hypertrophic prostate cells or neoplastic prostate cells whereupon the prostate cells express the lymphokine and thereby enhance an immune reaction against the hypertrophic or neoplastic prostate  
35 cells. The invention also provides a method for treating prostate hypertrophy and prostate neoplasia, said method comprising delivering such a polynucleotide construct which

expresses an activating lymphokine in prostate cells (e.g., cells expressing PSA). Typically, the step of delivering the polynucleotide construct is accomplished by direct administration of the construct in the form of naked DNA, lipid-DNA complexes, as condensed DNA bound by a polycation and optionally also a ligand for a prostate cell receptor (e.g., FGF receptor), or as viral-packaged DNA. Alternatively, hypertrophic or neoplastic prostate cells can be explanted from a patient, transfected with such a polynucleotide construct, and reintroduced into the patient (typically at the site of explant) to elicit an immune response in the patient against his own prostate tumor.

The invention also provides non-human animals harboring a transgene comprising a prostate-specific transcriptional regulatory element operably linked to a structural gene. Such transgenic animals express the structural gene in prostate cells. Frequently, the prostate-specific transcriptional regulatory element comprises a 5.3 kb immediate upstream region of the human PSA gene, or portions thereof, and the structural gene is expressed in cells which express an endogenous PSA gene. A variety of structural genes can be selected for operable linkage to the prostate-specific promoter/enhancer in the transgene. Advantageously, an activated oncogene or large T antigen gene can be selected as the structural gene, whereupon the transgenic animal can have an increased propensity for developing prostate neoplasia and serve as a disease model for BPH and prostatic carcinoma.

The invention also provides a method for purifying prostate-specific transcription factors, the method comprising contacting cell extracts (typically nuclear extracts) from prostate cells (e.g., a prostate tumor cell line) with DNA comprising a prostate-specific transcriptional regulatory element (e.g., a 5.3 kb segment immediately upstream of the human PSA gene). The step of contacting is typically performed under suitable conditions for specific binding of

the transcription factor(s) to the recognition site(s) on the DNA, whereupon unbound material is removed by washing and the retained material containing the transcription factor(s) is recovered. Transcription factors present in prostate tissue and absent in other tissues are identified as prostate-specific transcription factors.

#### BRIEF DESCRIPTION OF THE FIGURES

Fig. 1 shows the sequence of the 5' flanking region of the human prostate specific antigen to -5824bp. (SEQ ID NO:01). The fragment runs from a HindIII site at -5824 bp to the HindIII site at +7 bp. The numbering system is +1 at the transcription start site of PSA mRNA (Lundwall, A., 1989, Characterization of the gene for Prostate-specific antigen, a human glandular kallikrein. Biochim. Biophys. Res. Commun. 161:1151-1159). The coding region of PSA starts at +42.;

Fig. 2 is a restriction map of the PSA enhancer, extending from the 5' HindIII site to the 3' HindIII site.;

Fig. 3 gives the numerical base positions for the cleavage sites for the indicated restriction enzymes.;

Fig. 4 shows expression constructs wherein various lengths of the region upstream of the human PSA gene are operably linked to a reporter gene, chloramphenicol acetyltransferase (CAT); these constructs were evaluated for transcriptional activity in transfected human prostate LNCaP cells.;

Fig. 5 shows an autoradiogram of the CAT assays of extracts from the prostate cells transfected with the expression constructs shown in Fig. 4.;

Fig. 6 shows the results of the transfection of LNCaP cells with the entire -5824bp fragment of the 5' flanking region of the PSA gene driving the luciferase gene (LUC)

-14-

with normal and stripped serum in the presence of increasing amounts of methyltrienolane (R1881).;

Fig. 7 shows the effect of increasing R1881 concentrations in stripped serum using several constructs of the 5' flanking prostate specific enhancer (PSE) driving CAT.;

Fig. 8 shows the effect of small deletions designed to define the 5' extent of the required PSE sequence. Constructs were prepared with Exonuclease III in CN42, a construct that contains the XbaI 5' (-5322) to HindIII 3' (+7) end driving the CAT gene in a BSKSII backbone, and sequenced. As seen from Fig. 8, even a small deletion 3' from the XbaI site (108bp) inactivates the PSE.;

Fig. 9 shows expression constructs where the XbaI - ClaI fragment (SEQ ID NO:02) is moved to various positions in relation to the promoter and coding regions to determine the effect of position of the fragment on its regulatory activity. The 1196bp XbaI - ClaI fragment was moved close to the start site with and without a promoter region in both (+) and (-) orientations, and moved to the 5' end of the CAT gene in both (+) and (-) orientations. None of these constructs showed activity in transfections of LNCaP cells. The conclusion is that the enhancer region within the XbaI (-5322bp) to ClaI (-4135) is required, but it is not sufficient to function as an enhancer. Rather another sequence between the ClaI (-4135) and the HindIII (+7) is required.;

Fig. 10 is a bar diagram of the effect of internal deletions with the PSE driving the CAT gene. The results show that 2310bp, from the ApaI (-2851) to the BglIII (-541) can be deleted. Therefore, the additional sequence required for enhancement is located between the ClaI site and the ApaI site. Thus, the entire PSA enhancer is located between the XbaI (-5322) and the ApaI (-2851), a fragment of 2471bp.

The enhancer functions in concert with a promoter region that extends from the BglII (-541) site to the start of transcription.;

Fig. 11 is a bar diagram showing the in vitro toxicity of the CN45 construct in LNCaP cells. At 15ug of CN45 DNA in the original transfection 9 colonies grew out. In comparison, BSKII+ grew out 19 colonies and CN47 grew out 29 colonies. Thus, the presence of a functional diphtheria toxin-A chain in cells co-transfected with a neo expressing plasmid reduced the number of colonies recovered 2-3 fold.;

Fig. 12 shows the results of assaying CAT activity in tissue extracts from a nude mouse harboring a human prostate tumor administered a polynucleotide encoding CAT under the transcriptional control of the human PSE. CAT activities from kidney, heart, prostate, liver, pancreas, spleen, brain, lung, bone marrow, bladder and human prostatic tumor mass are shown.

#### Definitions

Unless defined otherwise, all technical and scientific terms used herein have the same meaning as commonly understood by one of ordinary skill in the art to which this invention belongs. Although any methods and materials similar or equivalent to those described herein can be used in the practice or testing of the present invention, the preferred methods and materials are described. For purposes of the present invention, the following terms are defined below.

The terms "substantially corresponds to", "substantially homologous", or "substantial identity" as used herein denotes a characteristic of a nucleic acid sequence, wherein a nucleic acid sequence has at least about 70 percent sequence identity as compared to a reference sequence, typically at least about 85 percent sequence identity, and preferably at least about 95 percent sequence identity as compared to a reference sequence, often at least 99 percent



identical. The percentage of sequence identity is calculated excluding small deletions or additions which total less than 25 percent of the reference sequence. The reference sequence may be a subset of a larger sequence, such as a portion of a gene or flanking sequence, or a repetitive portion of a chromosome. However, the reference sequence is at least 18 nucleotides long, typically at least about 30 nucleotides long, and preferably at least about 50 to 100 nucleotides long. Desirably the extent of similarity between the two sequences will be at least about 80%, preferably at least about 90%, in accordance with the FASTA program analysis. (Pearson and Lipman, Proc. Natl. Acad. Sci. USA (1988) 85:2444-8))

The term "naturally-occurring" as used herein as applied to an object refers to the fact that an object can be found in nature. For example, a polypeptide or polynucleotide sequence that is present in an organism (including viruses) that can be isolated from a source in nature and which has not been intentionally modified by man in the laboratory is naturally-occurring. As used herein, laboratory strains of rodents which may have been selectively bred according to classical genetics are considered naturally-occurring animals.

As used herein, a "heterologous" is defined in relation to a predetermined referenced gene sequence. For example, with respect to a structural gene sequence, a heterologous promoter is defined as a promoter which does not naturally occur adjacent to the referenced structural gene, but which is positioned by laboratory manipulation. For illustration, an SV40 large T antigen promoter is heterologous with respect to any gene other than large T antigen.

The term "transcriptional enhancement" is used herein to refer to functional property of producing an increase in the rate of transcription of linked sequences that contain a functional promoter.

As used herein, the term "transcriptional regulatory element" refers to a DNA sequence which activates transcription alone or in combination with one or more other DNA sequences. A transcriptional regulatory element can, for example, comprise a promoter, response element, negative regulatory element, and/or enhancer.

As used herein, a "transcription factor recognition site" and a "transcription factor binding site" refer to a polynucleotide sequence(s) or sequence motif(s) which are identified as being sites for the sequence-specific interaction of one or more transcription factors, frequently taking the form of direct protein-DNA binding. Typically, transcription factor binding sites can be identified by DNA footprinting, gel mobility shift assays, and the like, and/or can be predicted on the basis of known consensus sequence motifs, or by other methods known to those of skill in the art. For example and not to limit the invention, eukaryotic transcription factors include, but are not limited to: NFAT, AP1, AP-2, Spl, OCT-1, OCT-2, OAP, NFkB, CREB, CTF, TFIIA, TFIIB, TFIID, Pit-1, C/EBP, SRF (Mitchell PJ and Tijan R (1989) Science 245: 371). For purposes of the invention, steroid receptors, RNA polymerases, and other proteins that interact with DNA in a sequence-specific manner and exert transcriptional regulatory effects are considered transcription factors. In the context of the present invention, binding sites for prostate-specific transcription factors (or prostate-specific transcription complexes) are often included in the prostate-specific transcriptional regulatory element (s).

As used herein, the term "operably linked" refers to a linkage of polynucleotide elements in a functional relationship. A nucleic acid is "operably linked" when it is placed into a functional relationship with another nucleic acid sequence. For instance, a promoter or enhancer is operably linked to a coding sequence if it affects the transcription of the coding sequence. Operably linked means

that the DNA sequences being linked are typically contiguous and, where necessary to join two protein coding regions, contiguous and in reading frame. However, since enhancers generally function when separated from the promoter by  
5 several kilobases and intronic sequences may be of variable lengths, some polynucleotide elements may be operably linked but not contiguous.

As used herein, the term "transcriptional unit" or "transcriptional complex" refers to a polynucleotide  
10 sequence that comprises a structural gene (exons), a cis-acting linked promoter and other cis-acting sequences necessary for efficient transcription of the structural sequences, distal regulatory elements necessary for appropriate tissue-specific and developmental transcription  
15 of the structural sequences, and additional cis sequences important for efficient transcription and translation (e.g., polyadenylation site, mRNA stability controlling sequences).

Unless specified otherwise, the left-hand end of single-stranded polynucleotide sequences is the 5' end; the left-  
20 hand direction of double-stranded polynucleotide sequences is referred to as the 5' direction. The direction of 5' to 3' addition of nascent RNA transcripts is referred to as the transcription direction; sequence regions on the DNA strand having the same sequence as the RNA and which are 5' of the  
25 5' end of the RNA transcript are referred to as "upstream sequences"; sequence regions on the DNA strand having the same sequence as the RNA and which are 3' to the 3' end of the RNA transcript are referred to as "downstream sequences".

30 As used herein, the term "toxin gene" refers to a polynucleotide sequence which encodes a polypeptide that, when expressed in a eukaryotic cell, typically a mammalian cell, kills the cell or causes the cell to exhibit apoptosis, cytostasis, senescence, or a block in expressing  
35 a differentiated function such as expression of a cell-type

specific protein, and in one or more of these ways ablates a cell subpopulation. Preferred toxin genes of the invention are: diphtheria toxin A-chain gene (DTA), ricin A chain gene (Ric), herpesvirus thymidine kinase gene (tk),  
5 and Pseudomonas exotoxin gene (PE). Other suitable toxin genes will be apparent to those of skill in the art, such as suitable nucleases and proteases that, when expressed intracellularly as cytoplasmic proteins, lead to cell death. Alternatively, toxin genes encoding a defective mutin of an  
10 essential cell protein (e.g., a housekeeping gene such as GAPDH) may kill cells by acting as competitive or noncompetitive inhibitors of the cognate normal protein (s). Most preferably, the toxin gene is DTA gene.

As used herein, the term "mutin" refers to a mutationally  
15 altered biologically active protein that retains the activity of the parent analog but comprises at least one deviation in primary amino acid sequence as compared to the sequence of the parent analog (Glossary of Genetics and cytogenetics, 4th Ed., p.381, Springer-Verlag (1976),  
20 incorporated herein by reference). For example but not limitation, a DTA mutin may comprise a primary amino acid sequence having sequence identity to a naturally-occurring DTA polypeptide except at a residue position where a amino acid substitution (typically conservative) has been made,  
25 and the DTA mutin possesses cytotoxic activity, albeit not necessarily DTA the same specific activity as naturally-occurring DTA.

#### DETAILED DESCRIPTION

Generally, the nomenclature used hereafter and the  
30 laboratory procedures in cell culture, molecular genetics, and nucleic acid chemistry and hybridization described below are those well known and commonly employed in the art. Standard techniques are used for recombinant nucleic acid methods, polynucleotide synthesis, cell culture, and  
35 transgene incorporation (e. g., electroporation, micro-injection, Lipofection). Generally enzymatic reactions,

oligonucleotide synthesis, and purification steps are performed according to the manufacturer's specifications. The techniques and procedures are generally performed according to conventional methods in the art and various  
5 general references which are provided throughout this document. The procedures therein are believed to be well known in the art and are provided for the convenience of the reader. All the information contained herein is incorporated herein by reference.

- 10 Chimeric targeted mice are derived according to Hogan, et al., Manipulating the Mouse Embryo: A Laboratory Manual, Cold Spring Harbor Laboratory (1988) and Teratocarcinomas and Embryonic Stem Cells: A Practical Approach, E.J. Robertson, ed., IRL Press, Washington, D.C., (1987) which  
15 are incorporated herein by reference.

Embryonic stem cells are manipulated according to published procedures (Teratocarcinomas and Embryonic Stem Cells: A Practical Approach, E.J. Robertson, ed., IRL Press, Washington, D.C. (1987); Zjilstra et al., Nature 342:435-438  
20 (1989); and Schwartzberg et al., Science 246:799-803 (1989), each of which is incorporated herein by reference).

Oligonucleotides can be synthesized on an Applied Bio Systems oligonucleotide synthesizer according to specifications provided by the manufacturer.

- 25 Methods for PCR amplification are described in the art (PCR Technology: Principles and Applications for DNA Amplification ed. HA Erlich, Freeman Press, New York, NY (1992); PCR Protocols: A Guide to Methods and Applications, eds. Innis, Gelfland, Snisky, and White, Academic Press, San  
30 Diego, CA (1990); Mattila et al. (1991) Nucleic Acids Res. 19: 4967; Eckert, K.A. and Kunkel, T.A. (1991) PCR Methods and Applications 1: 17; PCR, eds. McPherson, Quirk, and Taylor, IRL Press, Oxford; and U.S. Patent 4,683,202, which are incorporated herein by reference).

The existence of tissue/organ-specific transcriptional regulatory elements, e.g. enhancers/promoters, provides the opportunity for targeting anti-cancer agents to the specific organ where cancer has arisen. This can be done by (a) introducing into cells genes that provide for transcription of a product that can result in ablation of the cells in which the product is transcribed, e.g. genes that encode highly toxic proteins and (b) controlling the expression of such genes with highly specific enhancers/promoters. Thus, only cells of one particular site, that in which cancer has arisen, will be killed. The therapeutic ratio could improve from 1.5: 1 to 10:1 or more.

Identification of Prostate-Specific Transcriptional Regulatory Elements

DNA sequences within or flanking a gene which is preferentially expressed in prostate cells contain DNA sequence motifs which function to enhance or drive transcription of the cis-linked gene in prostate cells. These sequences are termed prostate-specific transcriptional regulatory sequences. Such sequences are isolated and evaluated for their capacity to enhance or drive transcription of an operably linked reporter gene (e.g., CAT) in prostate cells and substantially not in other cell types. Minimal functional sequences are defined by deletion analysis and/or linker-scanning mutagenesis and the like, followed by assay of transcriptional activity demonstrating transcription in transfected prostate cells but not in other cell types which have also been transfected with minimal reporter constructs.

A preferred prostate-specific transcriptional regulatory element is contained on the approximately 5.3 kb upstream flanking region of the human PSA gene. This 5.3 kb segment typically is represented by a XbaI-HindIII fragment which is isolated from a human genomic clone library probed with a PSA-specific nucleotide probe (e.g., a PSA cDNA sequence). Of particular interest in this fragment is the region from

about -5300 to -2800, particularly -5322 to -2851 by itself or in combination with the region -541 to 0, as well as functional fragments thereof, e.g. the transcription factor binding sequences and response elements encompassed therein, individually or in combination.

A prostate-specific transcriptional regulatory element can comprise a promoter and/or enhancer. For example, a PSA enhancer is identified by deletion analysis of the PSA upstream region between -5.3kb and -2.8 kb (infra), which typically can be isolated from the human genome as a XbaI-ApaI 2.5 kb fragment; this enhancer is termed the "upstream PSA enhancer." Optionally, the naturally-occurring PSA promoter spanning the segment from about -541 to +7, particularly -320 to +7 of the human PSA gene can be included in operable linkage with the upstream PSA enhancer. This region includes an androgen response element. Alternatively, a heterologous promoter can be operably linked to the PSA upstream enhancer and used to drive expression of an operably linked structural gene sequence (e. g., a toxin gene, reporter gene, or other encoding sequence). Various deletions and point mutations can be made to the upstream sequences of the PSA gene, and each variant evaluated for the ability to drive or enhance transcription of a reporter gene (e.g., CAT) in neoplastic prostate cells (e.g., LNCaP) and for substantially lacking expression in non-prostatic cell types (e.g., NIH3T3, HBL100, HT1149, AR42J, NIH OVCA-3, 293, or DU145, a human prostate cancer cell line that fails to synthesize PSA).

#### ANTI-PROLIFERATION CONSTRUCTS

30

##### Toxin Gene Constructs

The polynucleotide sequence encoding a toxin molecule is operably linked to cis-acting transcriptional regulatory sequences (e. g., promoter, enhancer) of a prostate-specific gene (e.g., PSA), so that the toxin protein is expressed in prostate cells in a manner similar to the expression of the

endogenous prostate-specific gene in naturally-occurring prostate cells, preferably neoplastic prostate cells. Thus, it is usually preferable to operably link a toxin-encoding sequence to transcriptional regulatory elements which naturally occur in or near the prostate-specific gene (e.g., PSA gene).

The operable linkage may be formed by homologous sequence targeting to replace the toxin gene downstream of (i. e., towards the carboxy-terminus of the encoded naturally-occurring polypeptide in translational reading frame orientation) a transcriptional regulatory sequence (i. e., a promoter and the additional elements which confer specific cell-type expression) of the endogenous prostate-specific gene.

Alternatively, the operable linkage may be formed exogenously as a transgene, wherein the toxin gene is operably linked to a transcriptional regulatory sequence isolated from an endogenous prostate-specific gene, typically by genomic DNA cloning. In such transgenes, the transcriptional regulatory sequence is at least the minimal sequence(s) required for efficient cell-type specific expression, which generally is at least a promoter and at least about 0.2 kilobase (kb) upstream of the promoter, preferably at least about 1 to 3 kb upstream of the promoter, more preferably at least about 5 kb upstream of the promoter, and frequently at least about 8 or more kb upstream of the promoter. In the case of the PSA gene, at least a functional promoter and the PSA upstream enhancer are combined to confer prostate-specific expression of operably linked structural gene (toxin gene) sequences. Frequently, sequences downstream of the promoter, especially intronic sequences, be included in the transgene constructs (Brinster et al. (1988) Proc. Natl. Acad. Sci. (U.S.A.) 85: 836, incorporated herein by reference). Usually the sequences upstream of the promoter are used contiguously, although various deletions and rearrangements can be



employed. Some desired regulatory elements (e.g., enhancers, silencers) may be relatively position-insensitive, so that the regulatory element will function correctly even if positioned differently in a transgene than in the corresponding germline gene. For example, an enhancer may be located at a different distance from a promoter, in a different orientation, and/or in a different linear order. For example, an enhancer that is located 3' to a promoter in germline configuration might be located 5' to the promoter in a transgene. Where convenient, it is preferred that a contiguous segment of genomic DNA sequence spanning the prostate-specific gene and containing as much upstream flanking sequence as convenient (typically at least about 1-10 kb) be used in the transgene or targeting construct, with the toxin gene inserted so as to replace or displace at least the first intron of the gene and to be operably linked to the promoter (s). It is further recognized that a prostate-specific gene may comprise multiple promoters, which may individually be cell type-specific, and it is necessary to operably link the toxin gene to at least one promoter (or other transcriptional element) which confers transcription in prostate (especially neoplastic prostate) cells. Transcriptional elements which confer transcription in non-prostate cells and which are not necessary for efficient transcription in prostate cells may be advantageously deleted from the transgene or targeting construct to provide additional cell-type specificity for ablating prostate cells and minimizing ablation of other cell types.

If the transcription regulatory sequence(s) selected are relatively inefficient in transcribing the toxin gene, it may be desirable to incorporate multiple copies of a transgene or targeting construct to compensate with an enhanced gene dosage of the transgene.

The toxin genes may be toxic independently of any ancillary agent or toxic only inconjunction with an ancillary agent. There are numerous natural toxins which result in cell death upon reaching a minimum intracellular concentration. Other  
5 toxic agents induce cell death in conjunction with a second agent, but are otherwise benign. Illustrative of this latter protein is thymidine kinase.

Several polynucleotide sequences are suitable for use as a toxin gene in the transgenes and targeting constructs of the  
10 invention. Preferred toxin genes are: diphtheria toxin Achain gene (Palmiter et al. (1987) op.cit. and erratum (1990) Cell 62: following p.608; Maxwell et al. (1987) Mol. Cell. Biol. 7: 1576; Behringer et al. (1988) op.cit.; Messing et al. (1992) op.cit., incorporated herein by  
15 reference), ricin A chain gene (Piatak et al. (1988) J. Biol. Chem. 263: 4837; Lamb et al. (1985) Eur. J. Biochem. 148: 265; Frankel et al. (1989) Mol. Cell. Biol. 9: 415, incorporated herein by reference), Pseudomonas exotoxin gene comprising at least domain III or amino acids 400-600 (Hwang  
20 et al. (1987) Cell 48: 129; Siegall et al. (1989) J. Biol. Chem. 264: 14256; Chaudhary et al. (1990) Proc. Natl. Acad. Sci. (U.S.A.) 87: 308, incorporated herein by reference), and the HSV tk gene (Zijlstra et al. (1989) Nature 342:435; Mansour et al. (1988) Nature 336: 348; Johnson et al. (1989)  
25 Science 245: 1234; Adair et al. (1989) Proc. Natl. Acad. Sci (U.S.A.) 86: 4574; Capecchi, M. (1989) Science 244:1288, incorporated herein by reference).

The DTA, Ric, and PE act directly to kill cells in which they are expressed. The HSV tk gene requires the presence  
30 of a negative selection agent such as gancyclovir to effect toxicity in vivo. Generally, the dosage of gancyclovir is calibrated by generating a standard dose-response curve and determining the dosage level at which a desired level of ablation of prostate cells is observed. Information  
35 regarding administration of gancyclovir (GANC) to animals is available in various sources in the art, including human

prescribing directions from package inserts. When used in cell culture, a selective concentration of gancyclovir is typically about 1  $\mu$ M, with about 0.2  $\mu$ M used for in vitro applications and about 1-5  $\mu$ M administered for in vivo applications (typically administered over about 24 hours by continuous infusion from an osmotic pump loaded with 125 mg/ml of gancyclovir in aqueous solution).

Various other toxin genes may be used in the discretion of the practitioner and may include mutated or truncated forms of naturally-occurring proteins which competitively or noncompetitively inhibit the correct functioning of the naturally-occurring forms and thereby kill the cell. Alternatively, a toxin gene may comprise a polynucleotide that encodes an engineered cytoplasmic variant of a potent nuclease (e.g., RNase A) or protease (e.g., trypsin, chymotrypsin, proteinase K, etc.) which, when expressed as an enzymatically active polypeptide in the cytoplasm of a cell, produces the death of the cell (as determined, for example, by exclusion of Trypan Blue dye). Alternatively, a toxin gene may comprise a gene that, when expressed in a cytotoxic cell type, causes apoptosis (programmed cell death) of that cell type.

#### Antigen and Lymphokine Genes

For embodiments where a toxin gene is not employed, one variation of the invention comprises forming an expression polynucleotide by operably linking a prostate-specific transcriptional regulatory element with a structural gene encoding a lymphokine or an antigen which potentiates or elicits an immune response directed against cells expressing said lymphokine or antigen. Typically, a DNA segment comprising a PSA upstream enhancer and promoter are operably linked to the structural gene, forming an expression construct. Typical lymphokine genes are exemplified by, but not limited to, the following: IL-1, IL-2, IL-12, GM-CSF, IFN $\alpha$ , IFN $\beta$ , and IFN $\gamma$ . Typical antigen genes are those which are immunogenic and can be exemplified by, for example,

immunoglobulin  $\kappa$ V region and SV40 large T antigen (Watanabe et al. (1993) J. Immunol. 151: 2871, incorporated herein by reference). In one embodiment, a DNA-mediated tumor vaccine where a prostate specific enhancer drives a highly visible  
5 antigen such as the immunoglobulin  $\kappa$ V region of human IgG or SV40 T antigen is used to treat prostate neoplasia. Tumor vaccines of this nature can elicit natural killer cells to ablate any remaining tumor cells. Prostate cells expressing PSA would now become immunogenic and visible to the immune  
10 system. These therapies can also be delivered as described for transrectal fine needle biopsy (infra).

#### Anti-sense Sequences

Instead of having a gene encoding a protein, one may have an antisense sequence of at least about 30bp, usually  
15 at least about 50bp, having as a target the coding region of an essential gene for the proliferation or viability of the host. Numerous proteins associated with transcription, translation, metabolic pathways, cytostructural genes, or the like may be the target of the antisense. Desirably, the  
20 target should be essential, present at relatively low levels, and particularly associated with neoplastic cells. Of particular interest would be transcription factors associated with genes necessary for proliferation, e.g. oncogenes, or cytoskeleton genes, e.g.  $\beta$ -actin and tubulin,  
25 etc.

In the usual context, the antisense gene may be synthesized in accordance with conventional ways, using manual synthesis or automated synthesizers. In the context of PCE, the PSE would be operably linked to encode an  
30 antisense construct such that the transcription of the antisense would only occur in cells in which the PSE is active.

#### Transcriptional Regulatory Sequences

Transgenes and expression polynucleotides of the invention comprise a transcriptional regulatory sequence of a prostate-specific gene operably linked to a toxin gene or other structural gene (e. g., activating lymphokine or immunogenic antigen), and targeting constructs of the invention may comprise such a transcriptional regulatory sequence. Suitable transcriptional regulatory sequences are those which confer prostate-specific transcription of the linked toxin gene, although low levels of transcription may occur in other cell types as well so long as such non-prostate cell expression does not substantially interfere with the health and prognosis of patients treated with the transgenes/expression polynucleotides.

Suitable transcriptional regulatory sequences of the invention generally are derived from or correspond to polynucleotide sequences within or flanking a gene which is preferentially expressed in a neoplastic prostate cell population. Various prostate-specific genes are suitable, and specific genes may be selected at the discretion of the practitioner. For example, genes which have prostate-specific transcriptional regulatory sequences include prostatic acid phosphatase (PAP), and the genes encoding antigens which are detected by the monoclonal antibodies TURP-27, Leu 7, 7E 11-C5, and PD41 (Wright et al. (1990) The Prostate 17: 301). For many intended purposes, the human PSA gene is the preferred suitable source for obtaining prostate-specific transcription regulatory sequences.

The human PSA gene has been cloned and characterized by sequencing (Lundwall A (1989) op.cit.; Riegman et al. (1991) Molec. Endocrinol. 5:1921, incorporated herein by reference). A toxin gene or other structural gene is preferably inserted in operable linkage with the PSA gene upstream enhancer (and optionally including the PSA promoter). The toxin gene (or other structural gene) is positioned to ensure correct transcription and translation according to standard cloning methods in the art. A

targeting construct may be produced having recombinogenic  
homology regions flanking the toxin gene (or other  
structural gene) which correspond to the sequences flanking  
the chosen insertion site, which will be downstream of the  
5 transcription start site. A transgene comprising the  
regulatory sequences identified herein as the PSA upstream  
enhancer may also be produced, however it may be desirable  
to include additional sequences upstream or downstream of  
the PSA upstream enhancer; such sequences can be readily  
10 isolated by routine "chromosome walking" screening of a  
human genomic library.

### Decoys

The PSE region may also serve to be used as decoys, where  
dsDNA is introduced into the target cells by any convenient  
15 means as described previously. The dsDNA may be synthesized  
from naturally occurring nucleotides or unnatural  
nucleotides, so long as the dsDNA will bind to the target  
transcription factor. By introducing decoys into the  
prostate cells, the transcription factors binding to the PSE  
20 will be diverted to the decoys, so that PSA and other genes  
requiring the transcription factor(s) regulating PSA will  
be diverted. This will serve to identify those genes which  
are coordinately regulated with PSA and can also serve to  
modulate the viability and growth of prostate cells.

25

### DNA DELIVERY METHODOLOGIES

A large number of methodologies for DNA delivery have been  
developed and new ones are continuing to be developed. The  
presently available methodologies may be divided into three  
major groups: transfection with a viral vector; fusion with  
30 a lipid; and cationic supported DNA introduction. Each of  
these techniques has advantages and disadvantages, so that  
the selection of which technique to use will depend upon the  
particular situation and its demands.

DNA Delivery to Prostate Cells and Prostatic Carcinoma Cells

Delivery of the polynucleotide constructs of the invention to prostate cells, especially neoplastic prostate cells, can be accomplished by any suitable art-known method.

- 5 The invention provides methods and compositions for transferring such expression constructs, transgenes, and homologous recombination constructs into cells, especially in vivo for gene therapy of prostate disease. It is also an object of the invention to provide compositions for the  
10 therapy of BPH and prostatic neoplastic diseases.

For gene therapy of such diseases to be practicable, it is desirable to employ a DNA transfer method that accomplishes the following objectives: (1) is capable of directing the therapeutic polynucleotides into specific target cell types  
15 (e.g., neoplastic cells, prostate cells), (2) is highly efficient in mediating uptake of the therapeutic polynucleotide into the target cell population, and (3) is suited for use in vivo for therapeutic application.

So far, the majority of the approved gene transfer trials in  
20 the United States rely on replication-defective retroviral vectors harboring a therapeutic polynucleotide sequence as part of the retroviral genome (Miller et al. (1990) Mol. Cell. Biol. 10: 4239; Kolberg R (1992) J. NIH Res. 4: 43; Cornetta et al. (1991) Hum. Gene Ther. 2: 215). The major  
25 advantages of retroviral vectors for gene therapy are: the high efficiency of gene transfer into replicating cells, the precise integration of the transferred genes into cellular DNA, and the lack of further spread of the sequences after gene transduction. Major disadvantages include the  
30 inability of retroviral vectors to infect nondividing cells, the inherent inability to characterize completely the retroviral vectors used for gene transduction because retroviral vectors cannot be made synthetically but rather must be produced by infected cultured cells, the inability  
35 to target distinct cell types selectively, and the potential

for undesirable insertional mutagenesis of the host cell genome, among other problems.

Adenoviral vectors have also been described for potential use in human gene therapy (Rosenfeld et al. (1992) Cell 68: 143). Major advantages of adenovirus vectors are their potential to carry larger insert polynucleotide sequences than retroviral vectors, very high viral titres, ability to infect non-replicating cells, and suitability for infecting tissues in situ, especially in the lung. Major disadvantages are the inclusion of many adenovirus genes in the vectors which encode viral proteins that are immunogenic or have other adverse effects (e.g., cytopathic penton proteins), and potential instability of gene expression because the virus does not integrate stably into chromosomal DNA.

Moreover, because of their inherent antigenicity, most gene therapy methods employing viral vectors are ill-suited for multiple administrations, such as may be required to treat chronic diseases such as, for example, cancer.

The other gene transfer method that has been approved for use in humans is physical transfer of plasmid DNA in liposomes directly into tumor cells in situ. Unlike viral vectors which must be propagated in cultured cells, plasmid DNA can be purified to homogeneity and thus reduces the potential for pathogenic contamination. In some situations (e . g., tumor cells) it may not be necessary for the exogenous DNA to stably integrate into the transduced cell, since transient expression may suffice to kill the tumor cells. Liposome-mediated DNA transfer has been described by various investigators (Wang and Huang (1987) Biochem. Biophys. Res. Commun. 147: 980; Wang and Huang (1989) Biochemistry 28: 9508; Litzinger and Huang (1992) Biochem. Biophys. Acta 1113: 201; Gao and Huang (1991) Biochem. Biophys. Res. Commun. 179: 280; Felgner WO91/17424;



WO91/16024). Unfortunately, liposomal compositions usually do not possess specificity for delivering the exogenous DNA to a predetermined cell type; liposomes are generally indiscriminate in fusing to a wide variety of cell types with approximately equal frequency and often require non-physiological pH conditions for efficient fusion.

Immunoliposomes have also been described as carriers of exogenous polynucleotides (Wang and Huang (1987) Proc. Natl. Acad. Sci. (U.S.A.) 84: 7851; Trubetskoy et al. (1992) Biochem. Biophys. Acta 1131: 311). Immunoliposomes hypothetically might be expected to have improved cell type specificity as compared to liposomes by virtue of the inclusion of specific antibodies which presumably bind to surface antigens on specific cell types. Unfortunately, antibodies frequently are cross-reactive and bind to a variety of proteins bearing cross-reactive epitopes. This might be expected to pose a particular problem when the antibody is raised against a cell surface antigen that is a member of a conserved gene family or a cell surface antigen that contains a conserved sequence present in many other cell surface proteins. Moreover, immunoglobulins which bind cell surface proteins may be inefficiently endocytosed and/or may cause premature disruption of the immunoliposome upon binding antigen, undesirably releasing the exogenous DNA from the immunoliposome prior to fusion (Ho and Huang (1985) J. Immunol. 134: 4035). In addition, immunoliposome-DNA preparations are relatively inefficient for transfection.

Behr et al. (1989) Proc. Natl. Acad. Sci. (U.S.A.) 86: 6982 report using lipopolyamine as a reagent to mediate transfection itself, without the necessity of any additional phospholipid to form liposomes. However, lipopolyamines do not impart a predetermined targeting specificity to the exogenous DNA; for the most part, cells are transfected indiscriminately.

Low molecular weight polylysine ("PL") and other polycations have also been described as carriers to promote DNA-mediated transfection into cultured mammalian cells. Zhou et al. (1991) Biochem. Biophys. Acta 1065: 8 reports synthesis of  
5 a polylysine-phospholipid conjugate, a lipopolylysine comprising PL linked to N-glutarylphosphatidylethanolamine, which reportedly increases the transfection efficiency of DNA as compared to lipofectin, a commercially used transfection reagent. Unfortunately, a lipopolylysine does  
10 not provide satisfactory cell type specificity and it was reported by the authors to be quite inefficient in transforming cells in suspension.

Polylysine molecules conjugated to asialoorosomucoid ("ASOR") (Wu GY and Wu CH (1987) J. Biol. Chem. 262: 4429;  
15 Wu GY and Wu CH (1988) Biochemistry 27: 887; Wu GY and Wu CH (1988) J. Biol. Chem. 263: 14621; Wu GY and Wu CH (1992) 3 Biol. Chem. 267: 12436; Wu et al. (1991) J. Biol. Chem. 266: 14338; and Wilson et al. (1992) J. Biol. Chem. 267: 963, WO92/06180; WO92/05250; and WO91/17761) or transferrin  
20 (Wagner et al. (1990) Proc. Natl. Acad. Sci. (U.S.A.) 87: 3410; Zenke et al. (1990) Proc. Natl. Acad. Sci. (U.S.A.) 87: 3655; Birnstiel WO92/13570) have been described; such conjugates have been predicted to afford target-specific delivery of associated DNA to cells which express the  
25 appropriate receptor (i.e., asialoglycoprotein receptor or transferrin receptor, respectively). WO91/14696 describes covalently bound conjugates consisting of oligonucleotides in disulfide linkage to a targeting agent that promotes transport across cell membranes for transferring short  
30 antisense oligonucleotides into cells. Birnstiel, WO91/17773, describes polycation conjugates comprising an anti-CD4 antibody or a HIV gp120 fragment to confer targeting specificity for CD4+ T cells. Similar methods can be used to specifically deliver DNA to prostate cells  
35 expressing a cell surface receptor which may be targeted with a ligand or a specific antibody reactive with the receptor. Although such methods increase the specificity of

delivering the exogenous polynucleotides to a particular cell type, these methods often have a low transfection efficiency as compared to lipofection methods.

Liposome mediated transfection is highly efficient and generally not cell type specific, and lipid:DNA complexes rapidly associate with cells of the reticuloendothelial system (Mannino and Gould-Fogerite (1988) BioTech 6: 682). Receptor-mediated transfection theoretically should allow any size DNA or RNA to be transfected, however efficiency is affected by lysosomal degradation of nucleic acid. This has necessitated the use of inhibitors of lysosomal degradation, referred to as lysosomotropic agents, which are usually administered to cells contemporaneously (i.e., within about 1-6 hours prior to or subsequent to) transfection. Unfortunately cytotoxicity of most of these agents like chloroquine limits the universal employment of receptor mediated transfection (Dean et al. (1984) Biochem. J. 217: 27).

Essentially any suitable DNA delivery method can be used, although it is generally believed that direct physical application of naked DNA comprising the expression construct/transgene to the target cell population (e.g., prostate tumor mass) is believed to be preferred in many cases.

Therapeutic Method for Prostate Hypertrophy and Neoplasia  
Prostate cancer and benign prostate hyperplasia can be treated, arrested, or prevented using gene therapy wherein a DNA construct which comprises a prostate-specific transcriptional regulatory element can be delivered to prostate cells for targeted expression of a gene.

The nucleic acid compositions can be stored and administered in a sterile physiologically acceptable carrier, where the nucleic acid is dispersed in conjunction with any agents which aid in the introduction of the DNA into cells.

- Various sterile solutions may be used for administration of the composition, including water, PBS, ethanol, lipids, etc. The concentration of the DNA will be sufficient to provide a therapeutic dose, which will depend on the efficiency of transport into the cells. Administration may be by syringe needle, trocar, cannula, catheter, etc., as a bolus, a plurality doses or extended infusion, etc. The dose may be administered intralesionally, intravascularly or other appropriate site.
- 10 The diphtheria A toxin gene is placed 3' to a prostate-specific enhancer, such as the PSA upstream enhancer. This DNA is delivered by direct injection of the DNA as naked DNA, as a liposome, or other lipofection complex and the like directly into a prostate tumor cell mass in an outpatient procedure analogous to a transrectal fine needle biopsy of the prostate using the Franzen needle. The fine needle biopsy is commonly used for differential diagnosis of BPH and prostate carcinoma as well as staging of prostate carcinoma. The fine needle injection of DNA as a therapeutic can be directed by index finger palpation of nodules, ultrasound, or rectal endoscope. It is possible to repeatedly inject DNA therapeutically with this modality. Frequently, it is preferable that delivery is accomplished by intravenous injection.
- 25 The compositions containing the present prostate-specific polynucleotides encoding a toxin or vaccine protein can be administered for prophylactic and/or therapeutic treatments. In therapeutic application, compositions are administered to a patient already affected by the particular neoplastic/hypertrophic prostate disease, in an amount sufficient to cure or at least partially arrest the condition and its complications. An amount adequate to accomplish this is defined as a "therapeutically effective dose" or "efficacious dose." Amounts effective for this use will depend upon the severity of the condition, the general state of the patient, and the route of administration.

EXPERIMENTAL EXAMPLESIdentification of a Tissue-Specific PSA Upstream Enhancer

The promoter of prostate-specific antigen has been reported (Riegman et al. (1991) op.cit., incorporated herein  
5 by reference). The promoter from -320 to +7 contains a TATA-box, a GC-box, and a hormonal response element at -170 to -156. However, transfection of CAT constructs from -1600 to +7 into human prostate LNCaP cells were reportedly unsuccessful. Indeed the functional domains described were  
10 found by cotransfecting the CAT constructs into monkey kidney COS cells with an androgen receptor expression plasmid. It was unclear from this work whether the lack of activity of CAT constructs in LNCaP cells was due to poor transfection efficiency or due to a lack of a suitable  
15 tissue-specific enhancer element (Reigman et al (1991) op.cit.).

A 6 kb fragment representing the 5' flanking region of the prostate specific antigen (PSA) gene which was isolated from a human genomic library in the phage vector Charon 4A was  
20 kindly provided by Lundwall (1989) op.cit. This represents a 6 kb fragment in a pUC18 backbone as a HindIII fragment. Restriction digest analysis of the 6 kb 5' flanking region of PSA provided the map of unique sites shown in Figure 2. The ability of this 6 kb DNA fragment to drive CAT activity  
25 was tested by transfection of human prostate LNCaP cells (ATCC). LNCaP cells were plated at a density of  $7 \times 10^5$  cells/6cm dish in 5ml of RPMI 1640 supplemented with 10% fetal calf serum, 100 U each of penicillin and streptomycin. 24 hrs later cells were washed twice with 2ml of phosphate  
30 buffered saline (PBS) and transfected gently with cationic liposomes. 15ug of DNA mixed with 30ug of Lipofectin (Gibco BRL) was added to 3 ml of serum free media/plate. After 24 hrs, the media was removed and replaced with 5ml of RPMI 1640, 10 FCS. Cells were harvested after an additional  
35 incubation of 48 hrs. To prepare extracts, cells were washed twice with PBS, and removed with 1ml 150mM NaCl, 50mM Tris-HCl pH 7.4, 1mM EDTA. Cells were collected by

- centrifugation and lysed by 3X freeze-thaw in 100ul 0.25M Tris-HCl pH 7.4. Following centrifugation at 14,000 RPM, 4°C, 5', the supernatant was removed and stored at -20°C. CAT assays were performed on 50ug protein of cell extract.
- 5 The clones shown in Figure 4 were constructed containing various size deletions of the of the 6 kb 5' flanking region of the PSA gene. Constructs were either in pCAT Basic (Promega) or in pBS KSII+ (Stratagene). Constructs in either plasmid backbone performed substantially identically.
- 10 To test these constructs, LNCaP cells were transfected with 15ug DNA/7 X 10<sup>6</sup> cells in 6cm dishes with Lipofectin. Lane 1 contained no DNA, lane 2 = promoterless CAT, lane 3 = -5824 bp 5' PSA CAT, lane 4 = -5322 5' PSA CAT, lane 5 -4135 bp 5' PSA CAT, lane 6 = -3167 bp 5' PSA CAT, lane 6 = -1509
- 15 bp 5' PSA CAT, lane 7 = -633 bp 5' PSA CAT.

Of these constructs only the full -5824 bp HindIII construct and the -5322 bp XbaI construct were found to be capable of driving CAT in human prostate LNCaP cells. Constructs of -4135 bb (a unique ClaI site), or less, were incapable of

20 driving CAT in these cells. Thus, the putative PSA enhancer lies between -5322 bp and -4135 bp: between unique XbaI and ClaI sites. The XbaI-ClaI fragment of about 1.2 kb (SEQ ID NO:02) was transferred to pBSKSII+ and sequenced using primers from the multiple cloning site and then synthesized

25 primers. Both strands of DNA were sequenced using the Sanger dideoxy method. The sequence of this region is shown in the whole sequence of Figure 1. (SEQ ID NO:01) This region can be conveniently cloned out of a human genomic DNA library or can be amplified by PCR from human genomic DNA,

30 among other methods at the practitioner's discretion.

A computer search of GenBank showed no substantially related sequences to that of Figure 1. (SEQ ID NO:01).

Prostate specific antigen has enjoyed widespread acceptance as a serum marker for benign hyperplasia and cancer of the

prostate. While normal ranges of PSA are from 0 to 4.0ng/ml, a single measurement of serum PSA levels is not prognostic of a disease condition. However, repeated measurements showing rising levels of PSA over 10 mg/ml and rapid rises within months are cause for serious concern. Such indications are followed by biopsy to determine if the rising PSA levels are due to benign hyperplasia, or prostate cancer. PSA has been shown to be synthesized exclusively in prostate tissue or metastases of neoplastic prostate tissue. Interestingly, to date all metastases of prostate cancer and primary cultures of prostate tissue synthesize PSA (Ghazizadeh et al. (1984) Urol. Int. 39: 9). Of great interest is the question of whether this putative PSA enhancer is tissue-specific. Specifically, does the enhancer direct CAT expression only in prostate tissues and not in other tissues? Table I shows in vitro transfection data of a variety of cell lines with the -6.0kb 5' PSA flanking sequence driving CAT. LNCaP cells were transfected with Lipofectin. All other cells were transfected by the DEAE-dextran method.

Table I. Tissue Specificity of PSA Enhancer

<u>Cell Line</u>		<u>CAT Activity</u>
human cancer prostate	LNCaP	+
mouse fibroblast	NIH3T3	-
25 rat pancreas	AR42J	-
human kidney	293	-
human cancer ovary	NIH OVCAR-3	-
human breast cancer	HBL100	-
human cancer prostate	DU145	-
30 human bladder cancer	HT1149	-

All transfections were negative for promoter less CAT and positive for CAT driven by the SV40 early promoter (SVCAT) with the exception of LNCaP which was also negative for SVCAT. The data in Table I show the putative PSA enhancer to be tissue-specific for prostate tissue that is actively expressing prostate specific antigen. It is interesting to

note that DU145, a human prostate tumor line that does not express PSA, also fails to drive CAT from the PSA enhancer. However, tissue-specific expression of PSA is pathognomonic for BPH and prostate cancer questioning the value of PSA  
5 negative cell lines for the study of prostate disease. The bladder cell line was chosen since embryologically bladder is the closest relative of the prostate.

The PSA upstream prostate-specific enhancer can be used to form toxin gene expression polynucleotides for cytotoxic  
10 therapy of the prostate, for tumor vaccines of the prostate, as well as injection of gene delivery vehicle to target tumor metastases occurring in lymph nodes and bone.

#### Nude Mice Harboring Prostate-Specific Transgene

Traditionally, in vivo tissue-specificity of enhancers  
15 has been shown in transgenic mice. However, the construction of transgenic mice is only conclusive for enhancers which are functional in the mouse. To test the in vivo tissue specificity of the PSA upstream enhancer, a transgene comprising the human PSA upstream enhancer  
20 operably linked to the CAT gene driven by a heterologous promoter was injected into nude mice carrying the human prostate tumor LNCaP. 3-4 week-old male nude mice were injected subcutaneously in the back of the neck with 0.5 ml containing 0.25 ml Matrigel (Collaborative Biomedical) and  
25 0.25 ml Dulbecco's MEM without fetal calf serum or antibiotics and containing  $1 \times 10^6$  LNCaP cells at 4°C. Large tumors of about 0.5 to 1.0 grams developed within 4-5 weeks. Mice carrying tumors were injected I.V. into the tail vein with 100  $\mu$ l containing 100  $\mu$ g of a DNA expression construct  
30 including the PSA upstream enhancer and PSA promoter driving the CAT gene, 0.5% dextrose, and 800 ng of DDAB/DOPE (dimethyldioctadecylammonium bromide/dioleoylphosphatidylethanolamine) (1:2) cationic liposomes.

Mice were sacrificed by CO<sub>2</sub> suffocation 24 hours later and  
35 dissected. Tissues harvested were: kidney, heart, prostate,



liver, pancreas, spleen, brain, lung, bone marrow, bladder, and the tumor mass. Tissues were frozen on dry ice and stored at minus 70°C. Tissues (0.025 to 0.25g) were broken in a ground glass Dounce homogenizer in 500-1000  $\mu$ l 0.25M Tris pH 7.4, subjected to 3 x freeze-thaw, and centrifuged at 14,000 rpm at 4°C in a microfuge. The supernatant was removed, assayed for protein, and 50  $\mu$ g protein used for CAT analysis. Fig. 12 shows the results of the CAT assays. The results show CAT activity only in the LNCaP tumors, but no substantial activity in other tissues. The results are consistent with the PSA upstream enhancer being specific to human prostate tissue. The LNCaP line is a human prostate tissue culture cell line producing PSA. The in vitro cell culture results (supra) also demonstrate that the PSA upstream enhancer is specific for human prostate tissue expressing PSA. Mouse prostate tissue may lack the capacity to recognize the human PSA upstream enhancer. It is interesting to note that mouse prostate, and the embryologically related bladder, failed to synthesize CAT under the tested conditions. The in vitro and in vivo results are consistent with the human PSA upstream enhancer being capable of directing gene expression only in human cells expressing PSA. Therefore, the enhancer can be used to ablate PSA-expressing cells with the gene therapy compositions and methods described herein (supra).

#### Toxin Construct and Introduction into Prostate Cells

A construct was prepared comprising the diphtheria toxin A subunit (DT-A) (540bp) 5' to the SV40 t antigen, splice site, and poly A signal in BSKSII+. A triple stop translation codon was placed at the 5' end of the DT-A gene. This clone was designated CN47. The HindIII fragment of the PSE (-5815 to +16) was then cloned upstream of the DT-A gene, and designated CN45. Western blots of polyclonal antibody to diphtheria toxin were positive for expression from CN45. Specifically, the DT-A portion of these constructs were transferred to prokaryotic expression

vectors driven by the *lac* promoter. Following induction with IPTG, preparation of a lysate, gel electrophoresis, and blotting with antibody, CN45 gave a single band of 22,500 MW, the expected size of the DT-A subunit. Both CN45 and  
5 CN47 constructs were used to cotransfect LNCaP cells with the plasmid pcDNA3 (Invitrogen) in microtiter plates. pcDNA3 contains the neomycin gene *neo*, driven by the SV40 early promoter. 48 hours following co-transfection the cells were removed with trypsin and diluted to  $10^5$  cells/ml.  
10 100  $\mu$ l of each cell suspension was added to each well of a 96-well microtiter plate and incubated for 24 h. The media was removed and replaced with fresh media containing G418 (500 $\mu$ g/ml). Cells were incubated for 4 weeks with biweekly changes of G418 medium. Positive clones were identified  
15 with an XXT assay. The results are reported in Fig. 11.

#### CAT and LUC Constructs Mapping the 5' PSA Region

CAT and LUC constructs were prepared by standard molecular biology techniques (Sambrook et al., Molecular Cloning: A laboratory manual, Cold Spring Harbor  
20 Laboratories, Cold Spring Harbor, NY, 1989) in Bluescript KS+ (Stratagene). LNCaP cells were grown in RPMI supplemented with 10% FCS, and antibiotics (100U penicillin and 100U streptomycin/ml).  $5-7 \times 10^6$  were seeded into 6cm dishes and grown overnight in RPMI, antibiotics, and 10%  
25 stripped serum (Gemini). Cells were washed 2x with 2ml each PBS and transfected with 25 $\mu$ M DNA complexed with 50 $\mu$ M DOTMA:DOPE (1:1) in 1ml RPMI. DOTMA was synthesized with slight modification of the method of Felgner et al. (Proc. Natl. Acad. Sci. USA 84:7413-7417) and DOPE was from Avanti  
30 Polar Lipids (Alabaster, AL). Cells were incubated for 3h, the transfection mix was removed and replaced with RPMI with antibiotics, 10% stripped serum and the indicated concentration of the non-metabolizable synthetic testosterone analog R1881 (New England Nuclear). 48h post-  
35 transfection, the cells were washed twice with PBS and removed with 1ml of TEN. Cell pellets were redissolved in

100ul of 0.25M Tris (pH 7.8), subjected to 3x freeze-thaw, and debris removed by centrifugation (10,000 RPM, 5') in an Eppendorf Microfuge. The cell extract was assayed for protein by dye binding (Bio-Rad, Richmond, CA). For CAT  
5 assays, 50ug protein was made to 50ul with 0.25M Tris (pH 7.8) and added to 80ul of a standard CAT assay mix. After 2h at 37°C. the mix was extracted with 200ul of TMPD; mixed xylenes (2:1), vortexed for 20sec, centrifuged at 10,000RPM for 5', and 180ul removed for counting by liquid  
10 scintillation. For LUC assays, 50ug protein was made to 50ul with 0.25M Tris (pH 7.8) and assayed for LUC activity with a Monolight Luminometer 2010 (Analytical Luminescence Laboratory, San Diego, CA). The results are reported in Figs. 5 to 7.

15

#### Polynucleotide Delivery

A polynucleotide construct delivery vehicle can be used for intravenous injection to target lymph node and bone metastases of prostate cancer. In this form, the DNA is condensed and coated with poly-L-lysine to which has been  
20 attached a natural ligand for a prostate receptor, such as bFGF. Such structures were found to elicit gene expression preferentially within pancreatic cells. In addition, poly-L-lysine attached to  $\beta$ FGF can be mixed with DNA at levels too low to elicit DNA condensation, and optionally mixed  
25 with cationic liposomes at concentrations suitable for DNA condensation and uptake into cells. Such cells can bind specifically to cell surface receptors and deliver the DNA to cells bearing the targeted cell surface receptor.

Although the present invention has been described in some  
30 detail by way of illustration for purposes of clarity of understanding, it will be apparent that certain changes and modifications may be practiced within the scope of the claims.

## SEQUENCE LISTING

## (1) GENERAL INFORMATION:

- 5 (i) APPLICANT: Calydon, Inc.
- (ii) TITLE OF INVENTION: Tissue-Specific Enhancer Active in Prostrate
- (iii) NUMBER OF SEQUENCES: 2
- 10 (iv) CORRESPONDENCE ADDRESS:  
(A) ADDRESSEE: Flehr, Hohbach, Test, Albritton & Herbert  
(B) STREET: Four Embarcadero Center, Suite 3400  
(C) CITY: San Francisco  
(D) STATE: CA  
15 (E) COUNTRY: US  
(F) ZIP: 94111
- (v) COMPUTER READABLE FORM:  
(A) MEDIUM TYPE: Floppy disk  
(B) COMPUTER: IBM PC compatible  
20 (C) OPERATING SYSTEM: PC-DOS/MS-DOS  
(D) SOFTWARE: PatentIn Release #1.0, Version #1.30
- (vi) CURRENT APPLICATION DATA:  
(A) APPLICATION NUMBER: PCT/US95/  
(B) FILING DATE: 12-JAN-1995  
25 (C) CLASSIFICATION:
- (vii) PRIOR APPLICATION DATA:  
(A) APPLICATION NUMBER: US 08/182,247  
(B) FILING DATE: 13-JAN-1994
- (viii) ATTORNEY/AGENT INFORMATION:  
30 (A) NAME: Rowland, Bertram I.  
(B) REGISTRATION NUMBER: 20015  
(C) REFERENCE/DOCKET NUMBER: FP-60058-PC
- (ix) TELECOMMUNICATION INFORMATION:  
35 (A) TELEPHONE: 415-781-1989  
(B) TELEFAX: 415-398-3249

## (2) INFORMATION FOR SEQ ID NO:1:

- (i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 5836 base pairs  
(B) TYPE: nucleic acid  
40 (C) STRANDEDNESS: single  
(D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: DNA (genomic)
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:1:
- |  |     |
|--|-----|
| AAGCTTCTAG TTTTCTTTTC CCGGTGACAT CGTGGAAGC ACTAGCATCT CTAAGCAATG     | 60  |
| 45 ATCTGTGACA ATATTCACAG TGTAATGCCA TCCAGGGAAC TCAACTGAGC CTTGATGTCC | 120 |
| AGAGATTTTT GTGTTTTTTT CTGAGACTGA GTCTCGCTCT GTGCCAGGCT GGAGTGCACT    | 180 |
| GGTGCAACCT TGGCTCACTG CAAGCTCCGC CTCCTGGGTT CACGCCATTC TCCTGCCTCA    | 240 |

	GCCTCCTGAG TAGCTGGGAC TACAGGCACC CGCCACCACG CCTGGCTAAT TTTTTGTAT	300
	TTTTAGTAGA GATGGGGTTT CACTGTGTTA GCCAGGATGG TCTCAGTCTC CTGACCTCGT	360
	GATCTGCCCA CCTTGGCCTC CCAAAGTGCT GGGATGACAG GCGTGAGCCA CCGCGCCTGG	420
	CCGATATCCA GAGATTTTTT GGGGGGCTCC ATCACACAGA CATGTTGACT GTCTTCATGG	480
5	TTGACTTTTA GTATCCAGCC CCTCTAGAAA TCTAGCTGAT ATAGTGTTGGC TCAAAACCTT	540
	CAGCACAAAT CACACCGTTA GACTATCTGG TGTGGCCCAA ACCTTCAGGT GAACAAAGGG	600
	ACTCTAATCT GGCAGGATAT TCCAAAGCAT TAGAGATGAC CTCTTGCAAA GAAAAAGAAA	660
	TGGAAGAGAA AAAGAAAGAA AGGAAAAAAA AAAAAAAAAA GAGATGACCT CTCAGGCTCT	720
	GAGGGGAAAC GCCTGAGGTC TTTGAGCAAG GTCAGTCCTC TGTGTCACAG TCTCCCTCAC	780
10	AGGGTCATTG TGACGATCAA ATGTGGTCAC GTGTATGAGG CACCAGCACA TGCCTGGCTC	840
	TGGGGAGTGC CGTGTAAGTG TATGCTTGCA CTGCTGAATG CTTGGGATGT GTCAGGATT	900
	ATCTTCAGCA CTTACAGATG CTCATCTCAT CCTCACAGCA TCACTATGGG ATGGGTATTA	960
	CTGGCCTCAT TTGATGGAGA AAGTGGCTGT GGCTCAGAAA GGGGGGACCA CTAGACCAGG	1020
	GACACTCTGG ATGCTGGGGA CTCCAGAGAC CATGACCACT CACCAACTGC AGAGAAATTA	1080
15	ATTGTGGCCT GATGTCCCTG TCCTGGAGAG GGTGGAGGTG GACCTTCACT AACCTCCTAC	1140
	CTTGACCCTC TCTTTAGGG CTCTTCTGA CCTCCACCAT GGTACTAGGA CCCCATTTGA	1200
	TTCTGTACCC TCTTGACTCT ATGACCCCA CTGCCACTG CATCCAGCTG GGTCCCCTCC	1260
	TATCTCTATT CCCAGCTGGC CAGTGCAGTC TCAGTGCCCA CCTGTTTGTG AGTAACTCTG	1320
	AAGGGGCTGA CATTTTACTG ACTTGCAAC AAATAAGCTA ACTTTCCAGA GTTTTGTGAA	1380
20	TGCTGGCAGA GTCCATGAGA CTCCTGAGTC AGAGGCAAAG GCTTTTACTG CTCACAGCTT	1440
	AGCAGACAGC ATGAGGTTCA TGTTACATT AGTACACCTT GCCCCCCCCA AATCTTGTAG	1500
	GGTGACCAGA GCAGTCTAGG TGGATGCTGT GCAGAAGGGG TTTGTGCCAC TGGTGAGAAA	1560
	CCTGAGATTA GGAATCCTCA ATCTTATACT GGGACAACTT GCAAACCTGC TCAGCCTTTG	1620
	TCTCTGATGA AGATATTATC TTCATGATCT TGGATTGAAA ACAGACCTAC TCTGGAGGAA	1680
25	CATATTGTAT CGATTGTCCT TGACAGTAAA CAAATCTGTT GTAAGAGACA TTATCTTTAT	1740
	TATCTAGGAC AGTAAGCAAG CCTGGATCTG AGAGAGATAT CATCTTGCAA GGATGCCTGC	1800
	TTTACAAACA TCCTTGAAAC AACAAATCCAG AAAAAAAAAA GTGTTGCTGT CTTTGCTCAG	1860
	AAGACACACA GATACGTGAC AGAACCATGG AGAATTGCCT CCCAACGCTG TTCAGCCAGA	1920
	GCCTTCCACC CTTGTCTGCA GGACAGTCTC AACGTTCCAC CATTAAATAC TTCTTCTATC	1980
30	ACATCCTGCT TCTTTATGCC TAACCAAGGT TCTAGGTCCC GATCGACTGT GTCTGGCAGC	2040
	ACTCCACTGC CAAACCCAGA ATAAGGCAGC GCTCAGGATC CCGAAGGGGC ATGGCTGGGG	2100
	ATCAGAACTT CTGGGTTTGA GTGAGGAGTG GGTCCACCCT CTTGAATTTT AAAGGAGGAA	2160
	GAGGCTGGAT GTGAAGGTAC TGGGGGAGGG AAAGTGTCAG TTCCGAACTC TTAGGTCAAT	2220
	GAGGGAGGAG ACTGGTAAGG TCCCAGCTCC CGAGGTACTG ATGTGGGAAT GGCCTAAGAA	2280
35	TCTCATATCC TCAGGAAGAA GGTGCTGGAA TCCTGAGGGG TAGAGTTCTG GGTATATTTG	2340

	TGGCTTAAGG	CTCTTTGGCC	CCTGAAGGCA	GAGGCTGGAA	CCATTAGGTC	CAGGGTTTGG	2400
	GGTGATAGTA	ATGGGATCTC	TTGATTCCTC	AAGAGTCTGA	GGATCGAGGG	TTGCCCATTG	2460
	TTCCATCTTG	CCACCTAATC	CTTACTCCAC	TTGAGGGTAT	CACCAGCCCT	TCTAGCTCCA	2520
	TGAAGGTCCC	CTGGGCAAGC	ACAATCTGAG	CATGAAAGAT	GCCCCAGAGG	CCTTGGGTGT	2580
5	CATCCACTCA	TCATCCAGCA	TCACACTCTG	AGGGTGTGGC	CAGCACCATG	ACGTCATGTT	2640
	GCTGTGACTA	TCCCTGCAGC	GTGCCTCTCC	AGCCACCTGC	CAACCGTAGA	GCTGCCCATC	2700
	CTCCTCTGGT	GGGAGTGGCC	TGCATGGTGC	CAGGCTGAGG	CCTAGTGTCA	GACAGGGAGC	2760
	CTGGAATCAT	AGGGATCCAG	GACTCAAAAG	TGCTAGAGAA	TGGCCATATG	TCACCATCCA	2820
	TGAAATCTCA	AGGGCTTCTG	GGTGGAGGGC	ACAGGGACCT	GAACCTTATG	TTTCCCAAGT	2880
10	CTATTGCTCT	CCCAAGTGAG	TCTCCCAGAT	ACGAGGCACT	GTGCCAGCAT	CAGCCTTATC	2940
	TCCACCACAT	CTTGTAAGAG	GACTACCCAG	GGCCCTGATG	AACACCATGG	TGTGTACAGG	3000
	AGTAGGGGGT	GGAGGCACGG	ACTCCTGTGA	GGTCACAGCC	AAGGGAGCAT	CATCATGGGT	3060
	GGGGAGGAGG	CAATGGACAG	GCTTGAGAAC	GGGGATGTGG	TTGTATTTGG	TTTTCTTTGG	3120
	TTAGATAAAG	TGCTGGGTAT	AGGATTGAGA	GTGGAGTATG	AAGACCAGTT	AGGATGGAGG	3180
15	ATCAGATTGG	AGTTGGGTTA	GATAAAGTGC	TGGGTATAGG	ATTGAGAGTG	GAGTATGAAG	3240
	ACCAGTTAGG	ATGGAGGATC	AGATTGGAGT	TGGGTTAGAG	ATGGGGTAAA	ATTGTGCTCC	3300
	GGATGAGTTT	GGGATTGACA	CTGTGGAGGT	GGTTTGGGAT	GGCATGGCTT	TGGGATGGAA	3360
	ATAGATTTGT	TTTGATGTTG	GCTCAGACAT	CCTTGGGGAT	TGAACGGGG	ATGAAGCTGG	3420
	GTTTGATTTT	GGAGGTAGAA	GACGTGGAAG	TAGCTGTCAG	ATTTGACAGT	GGCCATGAGT	3480
20	TTTGTTTGAT	GGGGAATCAA	ACAATGGGGG	AAGACATAAG	GGTTGGCTTG	TTAGGTTAAG	3540
	TTGCGTTGGG	TTGATGGGGT	CGGGGCTGTG	TATAATGCAG	TTGGATTGGT	TTGTATTAAA	3600
	TTGGGTTGGG	TCAGGTTTTG	GTTGAGGATG	AGTTGAGGAT	ATGCTTGGGG	ACACCGGATC	3660
	CATGAGGTTC	TCACTGGAGT	GGAGACAAAC	TTCCTTTCCA	GGATGAATCC	AGGGAAGCCT	3720
	TAATTCACGT	GTAGGGGAGG	TCAGGCCACT	GGCTAAGTAT	ATCCTTCCAC	TCCAGCTCTA	3780
25	AGATGGTCTT	AAATTGTGAT	TATCTATATC	CACCTCTGTC	TCCCTCACTG	TGCTTGGAGT	3840
	TTACCTGATC	ACTCAACTAG	AAACAGGGGA	AGATTTTATC	AAATTCCTTT	TTTTTTTTTT	3900
	TTTTTTTTTGA	GACAGAGTCT	CACTCTGTTG	CCCAGGCTGG	AGTGCAGTGG	CGCAGTCTCG	3960
	GCTCACTGCA	ACCTCTGCCT	CCCAGGTTCA	AGTGATTCTC	CTGCCTCAGC	CTCCTGAGTT	4020
	GCTGGGATTA	CAGGCATGCA	GCACCATGCC	CAGCTAATTT	TTGTATTTTT	AGTAGAGATG	4080
30	GGGTTTCACC	AATGTTTGCC	AGGCTGGCCT	CGAACTCCTG	ACCTGGTGAT	CCACCTGCCT	4140
	CAGCCTCCCA	AAGTGCTGGG	ATTACAGGCG	TCAGCCACCG	CGCCCAGCCA	CTTTTGTCAA	4200
	ATTCTTGAGA	CACAGCTCGG	GCTGGATCAA	GTGAGCTACT	CTGGTTTTAT	TGAACAGCTG	4260
	AAATAACCAA	CTTTTTTGAA	ATTGATGAAA	TCTTACGGAG	TTAACAGTGG	AGGTACCAGG	4320
	GCTCTTAAGA	GTTCCCGATT	CTCTTCTGAG	ACTACAAATT	GTGATTTTGC	ATGCCACCTT	4380
35	AATCTTTTTT	TTTTTTTTTT	TAAATCGAGG	TTTCAGTCTC	ATTCTATTTT	CCAGGCTGGA	4440

-46-

GTTCAATAGC GTGATCACAG CTCACTGTAG CTTGAACTC CTGGCCTTAA GAGATTCTCC 4500  
 TGCTTCGGTC TCCCAATAGC TAAGACTACA GTAGTCCACC ACCATATCCA GATAATTTTT 4560  
 AAATTTTTTG GGGGGCCGGG CACAGTGGCT CACGCCTGTA ATCCCAACAC CATGGGAGGC 4620  
 TGAGATGGGT GGATCACGAG GTCAGGAGTT TGAGACCAGC CTGACCAACA TGGTGAAACT 4680  
 5 CTGTCTCTAC TAAAAAAAAA AAAAATAGAA AAATTAGCCG GGCCTGGTGG CACACGGCAC 4740  
 CTGTAATCCC AGCTACTGAG GAGGCTGAGG CAGGAGAATC ACTTGAACCC AGAAGGCAGA 4800  
 GGTGCAATG AGCCGAGATT GCGCCACTGC ACTCCAGCCT GGGTGACAGA GTGAGACTCT 4860  
 GTCTCAAAAA AAAAAAATT TTTTTTTTTT TTTGTAGAGA TGATCTTGC TTTGTTCTC 4920  
 TGGTTGGCCT TGAACCTCTG GCTTCAAGTG ATCCTCCTAC CTTGGCCTCG GAAAGTGTG 4980  
 10 GGATTACAGG CGTGAGCCAC CATGACTGAC CTGTCGTTAA TCTTGAGGTA CATAAACCTG 5040  
 GCTCCTAAAG GCTAAAGGCT AAATATTTGT TGGAGAAGGG GCATTGGATT TTGCATGAGG 5100  
 ATGATTCTGA CCTGGGAGGG CAGGTCAGCA GGCATCTCTG TTGCACAGAT AGAGTGTACA 5160  
 GGTCTGGAGA ACAAGGAGTG GGGGGTTATT GGAATTCAC ATTGTTTGCT GCACGTTGGA 5220  
 TTTTGAAATG CTAGGGAAC TTTGGGAGACT CATATTTCTG GGCTAGAGGA TCTGTGGACC 5280  
 15 ACAAGATCTT TTTATGATGA CAGTAGCAAT GTATCTGTGG AGCTGGATTC TGGGTTGGGA 5340  
 GTGCAAGGAA AAGAATGTAC TAAATGCCAA GACATCTATT TCAGGAGCAT GAGGAATAAA 5400  
 AGTTCTAGTT TCTGGTCTCA GAGTGGTGCA GGGATCAGGG AGTCTCACAA TCTCCTGAGT 5460  
 GCTGGTGTCT TAGGGCACAC TGGGTCTTGG AGTGCAAAGG ATCTAGGCAC GTGAGGCTTT 5520  
 GTATGAAGAA TCGGGGATCG TACCCACCCC CTGTTTCTGT TTCATCCTGG GCATGTCTCC 5580  
 20 TCTGCCTTTG TCCCCTAGAT GAAGTCTCCA TGAGCTACAA GGGCCTGGTG CATCCAGGGT 5640  
 GATCTAGTAA TTGCAGAACA GCAAGTGCTA GCTCTCCCTC CCCTTCCACA GCTCTGGGTG 5700  
 TGGGAGGGGG TTGTCCAGCC TCCAGCAGCA TGGGGAGGGC CTTGGTCAGC CTCTGGGTGC 5760  
 CAGCAGGGCA GGGGCGGAGT CCTGGGGAAT GAAGGTTTTA TAGGGCTCCT GGGGGAGGCT 5820  
 CCCCAGCCCC AAGCTT 5836

25 (2) INFORMATION FOR SEQ ID NO:2:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 1192 base pairs  
 (B) TYPE: nucleic acid  
 (C) STRANDEDNESS: single  
 30 (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:2:

TCTAGAAATC TAGCTGATAT AGTGTGGCTC AAAACCTTCA GCACAAATCA CACCGTTAGA 60  
 CTATCTGGTG TGGCCCAAAC CTTCAGGTGA ACAAAGGGAC TCTAATCTGG CAGGATATTC 120  
 35 CAAAGCATTG GAGATGACCT CTTGCAAAGA AAAAGAAATG GAAAAGAAAA AGAAAGAAAG 180

	GAAAAAAAAA	AAAAAAAAAGA	GATGACCTCT	CAGGCTCTGA	GGGGAAACGC	CTGAGGTCTT	240
	TGAGCAAGGT	CAGTCCTCTG	TTGCACAGTC	TCCCTCACAG	GGTCATTGTG	ACGATCAAAT	300
	GTGGTCACGT	GTATGAGGCA	CCAGCACATG	CCTGGCTCTG	GGGAGTGCCG	TGTAAGTGTA	360
	TGCTTGCACT	GCTGAATGCT	TGGGATGTGT	CAGGGATTAT	CTTCAGCACT	TACAGATGCT	420
5	CATCTCATCC	TCACAGCATC	ACTATGGGAT	GGGTATTACT	GGCCTCATTT	GATGGAGAAA	480
	GTGGCTGTGG	CTCAGAAAGG	GGGGACCACT	AGACCAGGGA	CACTCTGGAT	GCTGGGGACT	540
	CCAGAGACCA	TGACCACTCA	CCAACTGCAG	AGAAATTAAT	TGTGGCCTGA	TGTCCCTGTC	600
	CTGGAGAGGG	TGGAGGTGGA	CCTTCACTAA	CCTCCTACCT	TGACCCTCTC	TTTTAGGGCT	660
	CTTTCTGACC	TCCACCATGG	TACTAGGACC	CCATTGTATT	CTGTACCCTC	TTGACTCTAT	720
10	GACCCCCACT	GCCCCATGCA	TCCAGCTGGG	TCCCCTCCTA	TCTCTATTCC	CAGCTGGCCA	780
	GTGCAGTCTC	AGTGCCCACC	TGTTTGTCTAG	TAAGTCTGAA	GGGGCTGACA	TTTTACTGAC	840
	TTGCAAACAA	ATAAGCTAAC	TTTCCAGAGT	TTTGTGAATG	CTGGCAGAGT	CCATGAGACT	900
	CCTGAGTCAG	AGGCAAAGGC	TTTTACTGCT	CACAGCTTAG	CAGACAGCAT	GAGGTTTCATG	960
	TTCACATTAG	TACACCTTGC	CCCCCCCAAA	TCTTGTAGGG	TGACCAGAGC	AGTCTAGGTG	1020
15	GATGCTGTGC	AGAAGGGGTT	TGTGCCACTG	GTGAGAAACC	TGAGATTAGG	AATCCTCAAT	1080
	CTTATACTGG	GACAACTTGC	AAACCTGCTC	AGCCTTTGTC	TCTGATGAAG	ATATTATCTT	1140
	CATGATCTTG	GATTGAAAAC	AGACCTACTC	TGGAGGAACA	TATTGTATCG	AT	1192



WHAT IS CLAIMED IS:

1. A nucleic acid comprising a region consisting essentially of a transcriptional unit comprising (1) a human transcriptional regulatory element which initiates transcription in cells that express prostate-specific antigen ("PSA") and is substantially inactive in cells not expressing PSA; and (2) a DNA sequence other than the sequence encoding PSA under the transcriptional initiation regulatory control of said transcriptional regulatory element.
2. A nucleic acid according to Claim 1, wherein said transcriptional regulatory element comprises a sequence encompassed within -5322 and -2851 of Fig. 1.
3. A nucleic acid according to Claim 2, wherein said transcriptional regulatory element comprises an androgen response element.
4. A nucleic acid according to Claim 1, wherein said transcriptional regulatory element comprises an androgen response element.
5. A nucleic acid according to Claim 1, wherein said DNA sequence encodes a protein which inhibits proliferation of a cell in which said protein is expressed.
6. A nucleic acid according to Claim 5, wherein said protein is a toxin.
7. A nucleic acid according to Claim 1, wherein said DNA sequence encodes a surface membrane protein which initiates an immune response upon expression in a human host.

8. A nucleic acid according to Claim 1, wherein said transcriptional regulatory element is encompassed within the region 0 to -5322 of Fig. 1.

9. A viral vector for transfection of human cells  
5 comprising a nucleic acid according to any of Claims 1 to 8.

10. A viral vector according to Claim 9, wherein said viral vector is an adenovirus vector.

11. A composition for introduction of DNA into a  
10 viable human cell comprising a nucleic acid according to Claim 1 in a lipofection complex or liposome.

12. A method for expressing a protein in human prostate cells expressing PSA, said method comprising:

maintaining human prostate cells in a medium for  
15 maintaining viability, wherein said prostate cells are characterized by comprising a nucleic acid according to Claim 1 as a result of introduction of said nucleic acid into a human prostate cell.

13. A method according to Claim 12, wherein said  
20 nucleic acid wherein said transcriptional regulatory element comprises a sequence encompassed within about -5300 and -2800 of Fig. 1.

14. A method according to Claim 12, wherein said  
25 nucleic acid is joined to a viral vector.

15. A method according to Claim 14, wherein said viral vector is an adenovirus vector.

16. A composition for treatment of prostate hypertrophy or prostatic neoplasia, said composition comprising:

a sterile physiologically acceptable carrier in which  
5 is dispersed a nucleic acid comprising a region consisting essentially of a transcriptional unit comprising (1) a human transcriptional regulatory element which initiates transcription in cells that express prostate-specific antigen ("PSA") and is substantially inactive in cells not  
10 expressing PSA; and (2) a DNA sequence which encodes a protein or an antisense sequence which inhibits proliferation of a cell in which said protein is expressed, under the transcriptional initiation regulatory control of said transcriptional regulatory element.

15 17. A composition according to Claim 16, wherein said nucleic acid is in a lipofection complex or liposome.

18. A composition according to Claim 16, wherein said nucleic acid is part of a viral vector.

19. A DNA of not more than about 10kbp comprising  
20 nucleotides of from 0 to -6000 bp of the human PSA, consisting of at least nucleotides from about -2800 to -5300 and free of codons of PSA.

20. A DNA according to Claim 19, wherein said  
nucleotides from about -2800 to -5300 have the sequence of  
25 nucleotides -2800 to -5300 of the sequence of Fig. 1.

1/18

Sequence Range: -5824 to 12

&gt;HindIII

```

|
-5820-5815 -5810-5805 -5800-5795 -5790-5785 -5780-5775 -5770-5765
| * * * * *
AAGCTTCTAG TTTTCTTTTC CCGGTGACAT CGTGGAAAGC ACTAGCATCT CTAAGCAATG
-5760-5755 -5750-5745 -5740-5735 -5730-5725 -5720-5715 -5710-5705
* * * * *
ATCTGTGACA ATATTCACAG TGTAATGCCA TCCAGGGAAC TCAACTGAGC CTTGATGTCC
-5700-5695 -5690-5685 -5680-5675 -5670-5665 -5660-5655 -5650-5645
* * * * *
AGAGATTTTT GTGTTTTTTT CTGAGACTGA GTCTCGCTCT GTGCCAGGCT GGAGTGCAGT
-5640-5635 -5630-5625 -5620-5615 -5610-5605 -5600-5595 -5590-5585
* * * * *
GGTGCAACCT TGGCTCACTG CAAGCTCCGC CTCCTGGGTT CACGCCATTC TCCTGCCTCA
-5580-5575 -5570-5565 -5560-5555 -5550-5545 -5540-5535 -5530-5525
* * * * *
GCCTCCTGAG TAGCTGGGAC TACAGGCACC CGCCACCACG CCTGGCTAAT TTTTTTGTAT
-5520-5515 -5510-5505 -5500-5495 -5490-5485 -5480-5475 -5470-5465
* * * * *
TTTTAGTAGA GATGGGGTTT CACTGTGTTA GCCAGGATGG TCTCAGTCTC CTGACCTCGT
-5460-5455 -5450-5445 -5440-5435 -5430-5425 -5420-5415 -5410-5405
* * * * *
GATCTGCCCA CCTTGGCCTC CCAAAGTGCT GGGATGACAG GCGTGAGCCA CCGCGCCTGG
-5400-5395 -5390-5385 -5380-5375 -5370-5365 -5360-5355 -5350-5345
* * * * *
CCGATATCCA GAGATTTTTT GGGGGGCTCC ATCACACAGA CATGTTGACT GTCTTCATGG

```

&gt;XbaI

```

|
-5340-5335 -5330-5325 -5320-5315 -5310-5305 -5300-5295 -5290-5285
| * * * * *
TTGACTTTTA GTATCCAGCC CCTCTAGAAA TCTAGCTGAT ATAGTGTTGGC TCAAAACCTT
-5280-5275 -5270-5265 -5260-5255 -5250-5245 -5240-5235 -5230-5225
* * * * *
CAGCACAAAT CACACCGTTA GACTATCTGG TGTGGCCCAA ACCTTCAGGT GAACAAAGGG
-5220-5215 -5210-5205 -5200-5195 -5190-5185 -5180-5175 -5170-5165
* * * * *
ACTCTAATCT GGCAGGATAT TCCAAAGCAT TAGAGATGAC CTCTTGCAAA GAAAAAGAAA
-5160-5155 -5150-5145 -5140-5135 -5130-5125 -5120-5115 -5110-5105
* * * * *
TGGAAGAGAA AAAGAAAGAA AGGAAAAAAA AAAAAAGAAA GAGATGACCT CTCAGGCTCT
-5100-5095 -5090-5085 -5080-5075 -5070-5065 -5060-5055 -5050-5045
* * * * *
GAGGGGAAAC GCCTGAGGTC TTTGAGCAAG GTCAGTCCTC TGTTCACAG TCTCCCTCAC

```

FIG. 1

SUBSTITUTE SHEET (RULE 26)

2/18

```

-5040-5035 -5030-5025 -5020-5015 -5010-5005 -5000-4995 -4990-4985
*          *          *          *          *          *
AGGGTCATTG TGACGATCAA ATGTGGTCAC GTGTATGAGG CACCAGCACA TGCCTGGCTC
-4980-4975 -4970-4965 -4960-4955 -4950-4945 -4940-4935 -4930-4925
*          *          *          *          *          *
TGGGGAGTGC CGTGTAAGTG TATGCTTGCA CTGCTGAATG CTTGGGATGT GTCAGGGATT
-4920-4915 -4910-4905 -4900-4895 -4890-4885 -4880-4875 -4870-4865
*          *          *          *          *          *
ATCTTCAGCA CTTACAGATG CTCATCTCAT CCTCACAGCA TCACTATGGG ATGGGTATTA
-4860-4855 -4850-4845 -4840-4835 -4830-4825 -4820-4815 -4810-4805
*          *          *          *          *          *
CTGGCCTCAT TTGATGGAGA AAGTGGCTGT GGCTCAGAAA GGGGGGACCA CTAGACCAGG

```

&gt;PstI

```

-4800-4795 -4790-4785 -4780-4775 -4770-4765 -4760-4755 -4750-4745
*          *          *          *          *          *
GACACTCTGG ATGCTGGGGA CTCCAGAGAC CATGACCACT CACCAACTGC AGAGAAATTA
-4740-4735 -4730-4725 -4720-4715 -4710-4705 -4700-4695 -4690-4685
*          *          *          *          *          *
ATTGTGGCCT GATGTCCCTG TCCTGGAGAG GGTGGAGGTG GACCTTCACT AACCTCCTAC
-4680-4675 -4670-4665 -4660-4655 -4650-4645 -4640-4635 -4630-4625
*          *          *          *          *          *
CTTGACCCTC TCTTTTAGGG CTCTTTCTGA CCTCCACCAT GGTACTAGGA CCCCATTTGA
-4620-4615 -4610-4605 -4600-4595 -4590-4585 -4580-4575 -4570-4565
*          *          *          *          *          *
TTCTGTACCC TCTTGACTCT ATGACCCCA CTGCCCCTG CATCCAGCTG GGTCCCCTCC
-4560-4555 -4550-4545 -4540-4535 -4530-4525 -4520-4515 -4510-4505
*          *          *          *          *          *
TATCTCTATT CCCAGCTGGC CAGTGCAGTC TCAGTGCCCA CCTGTTTGTC AGTAACTCTG
-4500-4495 -4490-4485 -4480-4475 -4470-4465 -4460-4455 -4450-4445
*          *          *          *          *          *
AAGGGGCTGA CATTTTACTG ACTTGCAAAC AAATAAGCTA ACTTTCCAGA GTTTTGTGAA
-4440-4435 -4430-4425 -4420-4415 -4410-4405 -4400-4395 -4390-4385
*          *          *          *          *          *
TGCTGGCAGA GTCCATGAGA CTCCTGAGTC AGAGGCAAAG GCTTTTACTG CTCACAGCTT
-4380-4375 -4370-4365 -4360-4355 -4350-4345 -4340-4335 -4330-4325
*          *          *          *          *          *
AGCAGACAGC ATGAGGTTCA TGTTACATT AGTACACCTT GCCCCCCCCA AATCTTGTAG
-4320-4315 -4310-4305 -4300-4295 -4290-4285 -4280-4275 -4270-4265
*          *          *          *          *          *
GGTGACCAGA GCAGTCTAGG TGGATGCTGT GCAGAAGGGG TTTGTGCCAC TGGTGAGAAA
-4260-4255 -4250-4245 -4240-4235 -4230-4225 -4220-4215 -4210-4205
*          *          *          *          *          *
CCTGAGATTA GGAATCCTCA ATCTTATACT GGGACAACCT GCAAACCTGC TCAGCCTTTG

```

FIG.1 (cont)

SUBSTITUTE SHEET (RULE 26)

3/18

-4200-4195 -4190-4185 -4180-4175 -4170-4165 -4160-4155 -4150-4145  
 \* \* \* \* \*  
 TCTCTGATGA AGATATTATC TTCATGATCT TGGATTGAAA ACAGACCTAC TCTGGAGGAA

&gt;ClaI

-4140-4135 -4130-4125 -4120-4115 -4110-4105 -4100-4095 -4090-4085  
 \* \* \* \* \*  
 CATATTGTAT CGATTGTCCT TGACAGTAAA CAAATCTGTT GTAAGAGACA TTATCTTTAT  
 -4080-4075 -4070-4065 -4060-4055 -4050-4045 -4040-4035 -4030-4025  
 \* \* \* \* \*  
 TATCTAGGAC AGTAAGCAAG CCTGGATCTG AGAGAGATAT CATCTTGCAA GGATGCCTGC  
 -4020-4015 -4010-4005 -4000-3995 -3990-3985 -3980-3975 -3970-3965  
 \* \* \* \* \*  
 TTTACAAACA TCCTTGAAAC AACAAATCCAG AAAAAAAAAAG GTGTTGCTGT CTTTGCTCAG  
 -3960-3955 -3950-3945 -3940-3935 -3930-3925 -3920-3915 -3910-3905  
 \* \* \* \* \*  
 AAGACACACA GATACGTGAC AGAACCATGG AGAATTGCCT CCCAACGCTG TTCAGCCAGA

&gt;PstI

-3900-3895 -3890-3885 -3880-3875 -3870-3865 -3860-3855 -3850-3845  
 \* \* \* \* \*  
 GCCTTCCACC CTTGTCTGCA GGACAGTCTC AACGTTCCAC CATTAAATAC TTCTTCTATC  
 -3840-3835 -3830-3825 -3820-3815 -3810-3805 -3800-3795 -3790-3785  
 \* \* \* \* \*  
 ACATCCTGCT TCTTTATGCC TAACCAAGGT TCTAGGTCCC GATCGACTGT GTCTGGCAGC

&gt;BamHI

-3780-3775 -3770-3765 -3760-3755 -3750-3745 -3740-3735 -3730-3725  
 \* \* \* \* \*  
 ACTCCACTGC CAAACCCAGA ATAAGGCAGC GCTCAGGATC CCGAAGGGGC ATGGCTGGGG  
 -3720-3715 -3710-3705 -3700-3695 -3690-3685 -3680-3675 -3670-3665  
 \* \* \* \* \*  
 ATCAGAACTT CTGGGTTTGA GTGAGGAGTG GGTCCACCCT CTTGAATTC AAAGGAGGAA  
 -3660-3655 -3650-3645 -3640-3635 -3630-3625 -3620-3615 -3610-3605  
 \* \* \* \* \*  
 GAGGCTGGAT GTGAAGGTAC TGGGGGAGGG AAAGTGTGAG TTCCGAACTC TTAGGTCAAT  
 -3600-3595 -3590-3585 -3580-3575 -3570-3565 -3560-3555 -3550-3545  
 \* \* \* \* \*  
 GAGGGAGGAG ACTGGTAAGG TCCCAGCTCC CGAGGTACTG ATGTGGGAAT GGCCTAAGAA  
 -3540-3535 -3530-3525 -3520-3515 -3510-3505 -3500-3495 -3490-3485  
 \* \* \* \* \*  
 TCTCATATCC TCAGGAAGAA GGTGCTGGAA TCCTGAGGGG TAGAGTTCTG GGTATATTTG  
 -3480-3475 -3470-3465 -3460-3455 -3450-3445 -3440-3435 -3430-3425  
 \* \* \* \* \*  
 TGGCTTAAGG CTCTTTGGCC CCTGAAGGCA GAGGCTGGAA CCATTAGGTC CAGGGTTTGG

FIG.1 (cont)

SUBSTITUTE SHEET (RULE 26)

4/18

-3420-3415 -3410-3405 -3400-3395 -3390-3385 -3380-3375 -3370-3365  
 \* \* \* \* \*  
 GGTGATAGTA ATGGGATCTC TTGATTCTCTC AAGAGTCTGA GGATCGAGGG TTGCCCATTCTC  
 -3360-3355 -3350-3345 -3340-3335 -3330-3325 -3320-3315 -3310-3305  
 \* \* \* \* \*  
 TTCCATCTTG CCACCTAATC CTTACTCCAC TTGAGGGTAT CACCAGCCCT TCTAGCTCCA  
 -3300-3295 -3290-3285 -3280-3275 -3270-3265 -3260-3255 -3250-3245  
 \* \* \* \* \*  
 TGAAGGTCCC CTGGGCAAGC ACAATCTGAG CATGAAAGAT GCCCCAGAGG CCTTGGGTGT  
 -3240-3235 -3230-3225 -3220-3215 -3210-3205 -3200-3195 -3190-3185  
 \* \* \* \* \*  
 CATCCACTCA TCATCCAGCA TCACACTCTG AGGGTGTGGC CAGCACCATG ACGTCATGTT

&gt;PstI

-3180-3175 -3170-3165 -3160-3155 -3150-3145 -3140-3135 -3130-3125  
 \* \* \* \* \*  
 GCTGTGACTA TCCCTGCAGC GTGCCTCTCC AGCCACCTGC CAACCGTAGA GCTGCCCATC  
 -3120-3115 -3110-3105 -3100-3095 -3090-3085 -3080-3075 -3070-3065  
 \* \* \* \* \*  
 CTCCTCTGGT GGGAGTGGCC TGCATGGTGC CAGGCTGAGG CCTAGTGTCA GACAGGGAGC

&gt;BamHI

-3060-3055 -3050-3045 -3040-3035 -3030-3025 -3020-3015 -3010-3005  
 \* \* \* \* \*  
 CTGGAATCAT AGGGATCCAG GACTCAAAAG TGCTAGAGAA TGGCCATATG TCACCATCCA  
 -3000-2995 -2990-2985 -2980-2975 -2970-2965 -2960-2955 -2950-2945  
 \* \* \* \* \*  
 TGAAATCTCA AGGGCTTCTG GGTGGAGGGC ACAGGGACCT GAACTTATGG TTTCCCAAGT  
 -2940-2935 -2930-2925 -2920-2915 -2910-2905 -2900-2895 -2890-2885  
 \* \* \* \* \*  
 CTATTGCTCT CCCAAGTGAG TCTCCAGAT ACGAGGCACT GTGCCAGCAT CAGCCTTATC

&gt;ApaI

-2880-2875 -2870-2865 -2860-2855 -2850-2845 -2840-2835 -2830-2825  
 \* \* \* \* \*  
 TCCACCACAT CTTGTAAAAG GACTACCCAG GGCCCTGATG AACACCATGG TGTGTACAGG  
 -2820-2815 -2810-2805 -2800-2795 -2790-2785 -2780-2775 -2770-2765  
 \* \* \* \* \*  
 AGTAGGGGGT GGAGGCACGG ACTCCTGTGA GGTCACAGCC AAGGGAGCAT CATCATGGGT  
 -2760-2755 -2750-2745 -2740-2735 -2730-2725 -2720-2715 -2710-2705  
 \* \* \* \* \*  
 GGGGAGGAGG CAATGGACAG GCTTGAGAAC GGGGATGTGG TTGTATTTGG TTTTCTTTGG  
 -2700-2695 -2690-2685 -2680-2675 -2670-2665 -2660-2655 -2650-2645  
 \* \* \* \* \*  
 TTAGATAAAG TGCTGGGTAT AGGATTGAGA GTGGAGTATG AAGACCAGTT AGGATGGAGG

FIG.1 (c nt)

SUBSTITUTE SHEET (RULE 26)

5/18

```

-2640-2635 -2630-2625 -2620-2615 -2610-2605 -2600-2595 -2590-2585
*      *      *      *      *      *
ATCAGATTGG AGTTGGGTTA GATAAAGTGC TGGGTATAGG ATTGAGAGTG GAGTATGAAG

-2580-2575 -2570-2565 -2560-2555 -2550-2545 -2540-2535 -2530-2525
*      *      *      *      *      *
ACCAGTTAGG ATGGAGGATC AGATTGGAGT TGGGTTAGAG ATGGGGTAAA ATTGTGCTCC

-2520-2515 -2510-2505 -2500-2495 -2490-2485 -2480-2475 -2470-2465
*      *      *      *      *      *
GGATGAGTTT GGGATTGACA CTGTGGAGGT GGTTTGGGAT GGCATGGCTT TGGGATGGAA

-2460-2455 -2450-2445 -2440-2435 -2430-2425 -2420-2415 -2410-2405
*      *      *      *      *      *
ATAGATTTGT TTTGATGTTG GCTCAGACAT CCTTGGGGAT TGAAGTGGG ATGAAGCTGG

-2400-2395 -2390-2385 -2380-2375 -2370-2365 -2360-2355 -2350-2345
*      *      *      *      *      *
GTTTGATTTT GGAGGTAGAA GACGTGGAAG TAGCTGTCAG ATTTGACAGT GGCCATGAGT

-2340-2335 -2330-2325 -2320-2315 -2310-2305 -2300-2295 -2290-2285
*      *      *      *      *      *
TTTGTTTGAT GGGGAATCAA ACAATGGGGG AAGACATAAG GGTGGCTTG TTAGGTTAAG

-2280-2275 -2270-2265 -2260-2255 -2250-2245 -2240-2235 -2230-2225
*      *      *      *      *      *
TTGCGTTGGG TTGATGGGGT CGGGGCTGTG TATAATGCAG TTGGATTGGT TTGTATTAA

                                     >BamHI
-2220-2215 -2210-2205 -2200-2195 -2190-2185 -2180-2175 -2170-2165
*      *      *      *      *      *
TTGGGTTGGG TCAGGTTTTG GTTGAGGATG AGTTGAGGAT ATGCTTGGGG ACACCGGATC

-2160-2155 -2150-2145 -2140-2135 -2130-2125 -2120-2115 -2110-2105
*      *      *      *      *      *
CATGAGGTTC TCACTGGAGT GGAGACAAAC TTCCTTTCCA GGATGAATCC AGGGAAGCCT

-2100-2095 -2090-2085 -2080-2075 -2070-2065 -2060-2055 -2050-2045
*      *      *      *      *      *
TAATTCACGT GTAGGGGAGG TCAGGCCACT GGCTAAGTAT ATCCTTCCAC TCCAGCTCTA

-2040-2035 -2030-2025 -2020-2015 -2010-2005 -2000-1995 -1990-1985
*      *      *      *      *      *
AGATGGTCTT AAATTGTGAT TATCTATATC CACTTCTGTC TCCCTCACTG TGCTTGGAGT

-1980-1975 -1970-1965 -1960-1955 -1950-1945 -1940-1935 -1930-1925
*      *      *      *      *      *
TTACCTGATC ACTCAACTAG AACAGGGGA AGATTTTATC AAATCTTTT TTTTTTTTTT

-1920-1915 -1910-1905 -1900-1895 -1890-1885 -1880-1875 -1870-1865
*      *      *      *      *      *
TTTTTTTGA GACAGAGTCT CACTCTGTTG CCCAGGCTGG AGTGCAGTGG CGCAGTCTCG

-1860-1855 -1850-1845 -1840-1835 -1830-1825 -1820-1815 -1810-1805
*      *      *      *      *      *
GCTCACTGCA ACCTCTGCCT CCCAGGTTCA AGTGATTCTC CTGCCTCAGC CTCCTGAGTT

```

FIG. 1 (cont)

SUBSTITUTE SHEET (RULE 26)



6/18

```

-1800-1795 -1790-1785 -1780-1775 -1770-1765 -1760-1755 -1750-1745
*          *          *          *          *          *
GCTGGGATTA CAGGCATGCA GCACCATGCC CAGCTAATTT TTGTATTTTT AGTAGAGATG

-1740-1735 -1730-1725 -1720-1715 -1710-1705 -1700-1695 -1690-1685
*          *          *          *          *          *
GGGTTTCACC AATGTTTGCC AGGCTGGCCT CGAACTCCTG ACCTGGTGAT CCACCTGCCT

-1680-1675 -1670-1665 -1660-1655 -1650-1645 -1640-1635 -1630-1625
*          *          *          *          *          *
CAGCCTCCCA AAGTGCTGGG ATTACAGGCG TCAGCCACCG CGCCCAGCCA CTTTGTCAA

-1620-1615 -1610-1605 -1600-1595 -1590-1585 -1580-1575 -1570-1565
*          *          *          *          *          *
ATTCTTGAGA CACAGCTCGG GCTGGATCAA GTGAGCTACT CTGGTTTAT TGAACAGCTG

                                     >KpnI
-1560-1555 -1550-1545 -1540-1535 -1530-1525 -1520-1515 -1510-1505
*          *          *          *          *          *
AAATAACCAA CTTTTTGAA ATTGATGAAA TCTTACGGAG TTAACAGTGG AGGTACCAGG

-1500-1495 -1490-1485 -1480-1475 -1470-1465 -1460-1455 -1450-1445
*          *          *          *          *          *
GCTCTTAAGA GTTCCCGATT CTCTTCTGAG ACTACAAATT GTGATTTTGC ATGCCACCTT

-1440-1435 -1430-1425 -1420-1415 -1410-1405 -1400-1395 -1390-1385
*          *          *          *          *          *
AATCTTTTTT TTTTTTTTTT TAAATCGAGG TTTCAGTCTC ATTCTATTTT CCAGGCTGGA

-1380-1375 -1370-1365 -1360-1355 -1350-1345 -1340-1335 -1330-1325
*          *          *          *          *          *
GTTCAATAGC GTGATCACAG CTCACTGTAG CCTTGAAGTC CTGGCCTTAA GAGATTCTCC

-1320-1315 -1310-1305 -1300-1295 -1290-1285 -1280-1275 -1270-1265
*          *          *          *          *          *
TGCTTCGGTC TCCAATAGC TAAGACTACA GTAGTCCACC ACCATATCCA GATAATTTTT

-1260-1255 -1250-1245 -1240-1235 -1230-1225 -1220-1215 -1210-1205
*          *          *          *          *          *
AAATTTTTTG GGGGGCCGGG CACAGTGGCT CACGCCTGTA ATCCCAACAC CATGGGAGGC

-1200-1195 -1190-1185 -1180-1175 -1170-1165 -1160-1155 -1150-1145
*          *          *          *          *          *
TGAGATGGGT GGATCACGAG GTCAGGAGTT TGAGACCAGC CTGACCAACA TGGTGAAACT

-1140-1135 -1130-1125 -1120-1115 -1110-1105 -1100-1095 -1090-1085
*          *          *          *          *          *
CTGTCTCTAC TAAAAAAAAA AAAAATAGAA AAATTAGCCG GGCGTGGTGG CACACGGCAC

-1080-1075 -1070-1065 -1060-1055 -1050-1045 -1040-1035 -1030-1025
*          *          *          *          *          *
CTGTAATCCC AGCTACTGAG GAGGCTGAGG CAGGAGAATC ACTTGAACCC AGAAGGCAGA

-1020-1015 -1010-1005 -1000-995 -990-985 -980-975 -970-965
*          *          *          *          *          *
GGTTGCAATG AGCCGAGATT GCGCCACTGC ACTCCAGCCT GGGTGACAGA GTGAGACTCT

```

FIG.1 (cont)

SUBSTITUTE SHEET (RULE 26)

7/18

```

-960 -955 -950 -945 -940 -935 -930 -925 -920 -915 -910 -905
      *      *      *      *      *      *      *      *
GTCTCAAAAA AAAAAAATTT TTTTTTTTTT TTTGTAGAGA TGGATCTTGC TTTGTTTCTC
-900 -895 -890 -885 -880 -875 -870 -865 -860 -855 -850 -845
      *      *      *      *      *      *      *      *
TGGTTGGCCT TGAACCTCCTG GCTTCAAGTG ATCCTCCTAC CTGGCCCTCG GAAAGTGTG
-840 -835 -830 -825 -820 -815 -810 -805 -800 -795 -790 -785
      *      *      *      *      *      *      *      *
GGATTACAGG CGTGAGCCAC CATGACTGAC CTGTCGTTAA TCTTGAGGTA CATAAACCTG
-780 -775 -770 -765 -760 -755 -750 -745 -740 -735 -730 -725
      *      *      *      *      *      *      *      *
GCTCCTAAAG GCTAAAGGCT AAATATTTGT TGGAGAAGGG GCATTGGATT TTGCATGAGG
-720 -715 -710 -705 -700 -695 -690 -685 -680 -675 -670 -665
      *      *      *      *      *      *      *      *
ATGATTCTGA CCTGGGAGGG CAGGTCAGCA GGCATCTCTG TTGCACAGAT AGAGTGTACA

```

&gt;EcoRI

```

-660 -655 -650 -645 -640 -635 -630 -625 -620 -615 -610 -605
      *      *      *      *      *      *      *      *
GGTCTGGAGA ACAAGGAGTG GGGGGTTATT GGAATTCAC ATTGTTTGCT GCACGTTGGA
-600 -595 -590 -585 -580 -575 -570 -565 -560 -555 -550 -545
      *      *      *      *      *      *      *      *
TTTGAATG CTAGGGAAGT TTGGGAGACT CATATTTCTG GGCTAGAGGA TCTGTGGACC
-540 -535 -530 -525 -520 -515 -510 -505 -500 -495 -490 -485
      *      *      *      *      *      *      *      *
ACAAGATCTT TTTATGATGA CAGTAGCAAT GTATCTGTGG AGCTGGATTC TGGGTTGGGA
-480 -475 -470 -465 -460 -455 -450 -445 -440 -435 -430 -425
      *      *      *      *      *      *      *      *
GTGCAAGGAA AAGAATGTAC TAAATGCCAA GACATCTATT TCAGGAGCAT GAGGAATAAA
-420 -415 -410 -405 -400 -395 -390 -385 -380 -375 -370 -365
      *      *      *      *      *      *      *      *
AGTTCTAGTT TCTGGTCTCA GAGTGGTGCA GGGATCAGGG AGTCTCACAA TCTCCTGAGT
-360 -355 -350 -345 -340 -335 -330 -325 -320 -315 -310 -305
      *      *      *      *      *      *      *      *
GCTGGTGTCT TAGGGCACAC TGGGTCTTGG AGTGCAAAGG ATCTAGGCAC GTGAGGCTTT
-300 -295 -290 -285 -280 -275 -270 -265 -260 -255 -250 -245
      *      *      *      *      *      *      *      *
GTATGAAGAA TCGGGGATCG TAQCCACCCC CTGTTTCTGT TTCATCCTGG GCATGTCTCC
-240 -235 -230 -225 -220 -215 -210 -205 -200 -195 -190 -185
      *      *      *      *      *      *      *      *
TCTGCCTTTG TCCCCTAGAT GAAGTCTCCA TGAGCTACAA GGGCCTGGTG CATCCAGGGT
-180 -175 -170 -165 -160 -155 -150 -145 -140 -135 -130 -125
      *      *      *      *      *      *      *      *
GATCTAGTAA TTGCAGAACA GCAAGTGCTA GCTCTCCCTC CCCTTCCACA GCTCTGGGTG

```

FIG.1 (cont)

SUBSTITUTE SHEET (RULE 26)

8/18

-120	-115	-110	-105	-100	-95	-90	-85	-80	-75	-70	-65
	*		*		*		*		*		*
TGGGAGGGGG	TTGTCCAGCC	TCCAGCAGCA	TGGGGAGGGC	CTTGGTCAGC	CTCTGGGTGC						
-60	-55	-50	-45	-40	-35	-30	-25	-20	-15	-10	-5
	*		*		*		*		*		*
CAGCAGGGCA	GGGGCGGAGT	CCTGGGGAAT	GAAGGTTTTA	TAGGGCTCCT	GGGGGAGGCT						

>HindIII

1	6	11
	*	
CCCCAGCCCC	AAGCTT	

FIG.1 (cont)

**SUBSTITUTE SHEET (RULE 26)**

9/18

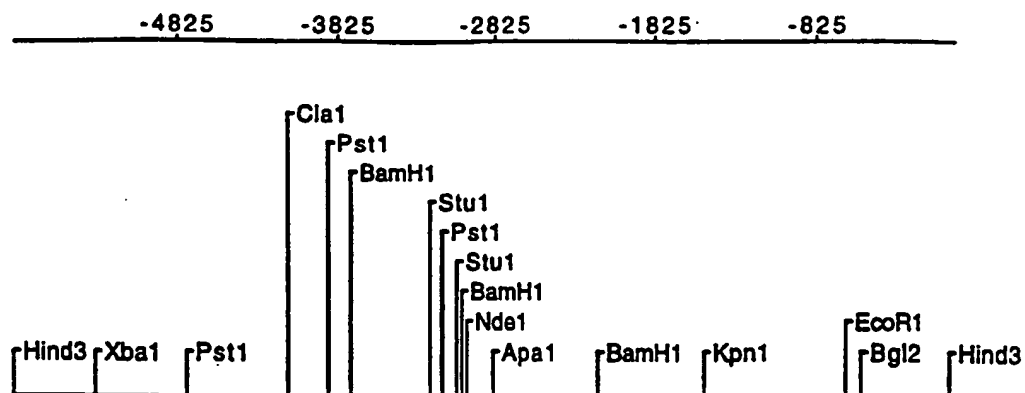


FIG. 2

Sequence Range: -5824 to 12

Enzyme	#Cuts	Positions		
Apa1	1	-2851		
BamH1	3	-3749	-3052	-2169
Bgl2	1	-541		
Cla1	1	-4135		
EcoR1	1	-633		
Hind3	2	-5824	7	
Kpn1	1	-1509		
Nde1	1	-3019		
Pst1	3	-4754	-3885	-3167
Stu1	2	-3255	-3085	
Xba1	1	-5322		

FIG. 3

SUBSTITUTE SHEET (RULE 26)

10/18

## Prostate Specific Antigen CAT Constructs

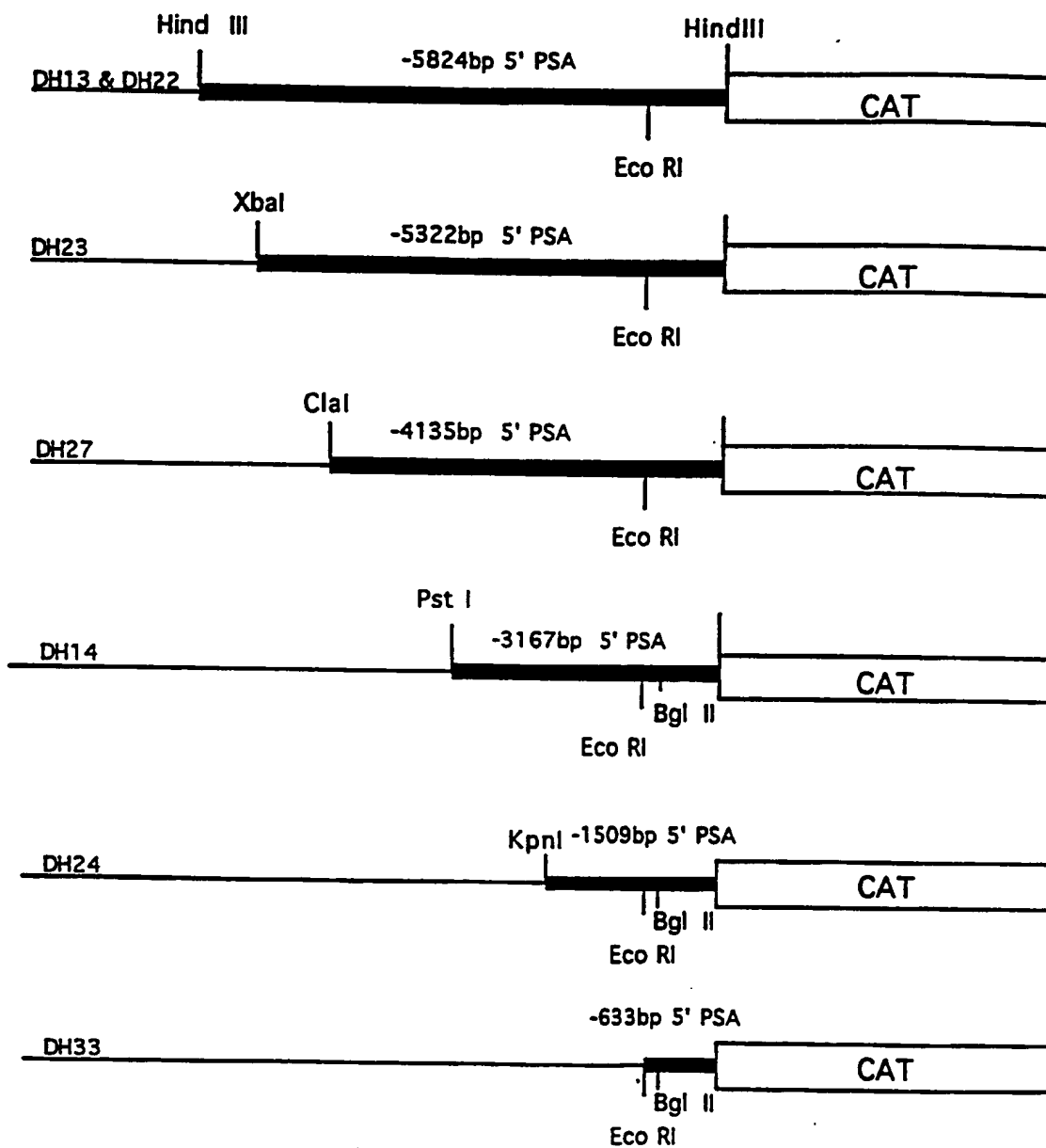


FIG. 4

SUBSTITUTE SHEET (RULE 26)

11/18

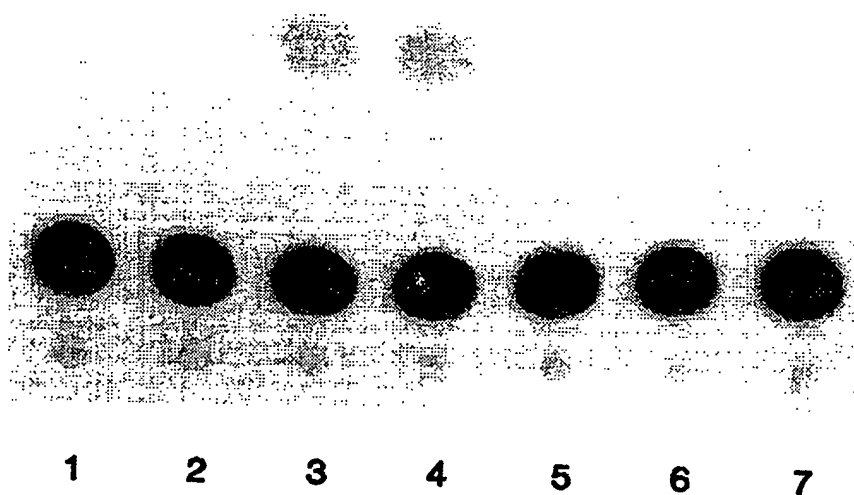


FIG. 5

12/18

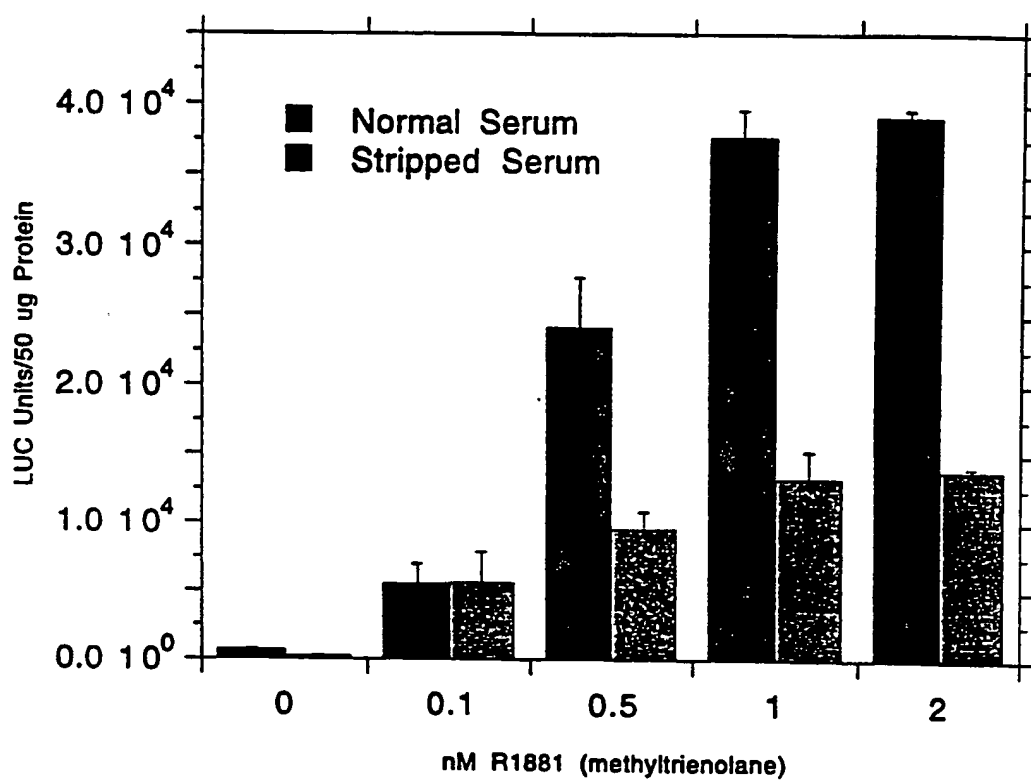
**Transfection of LNCaP with 5824 bp of 5' Flank of PSA**

FIG. 6

13/18

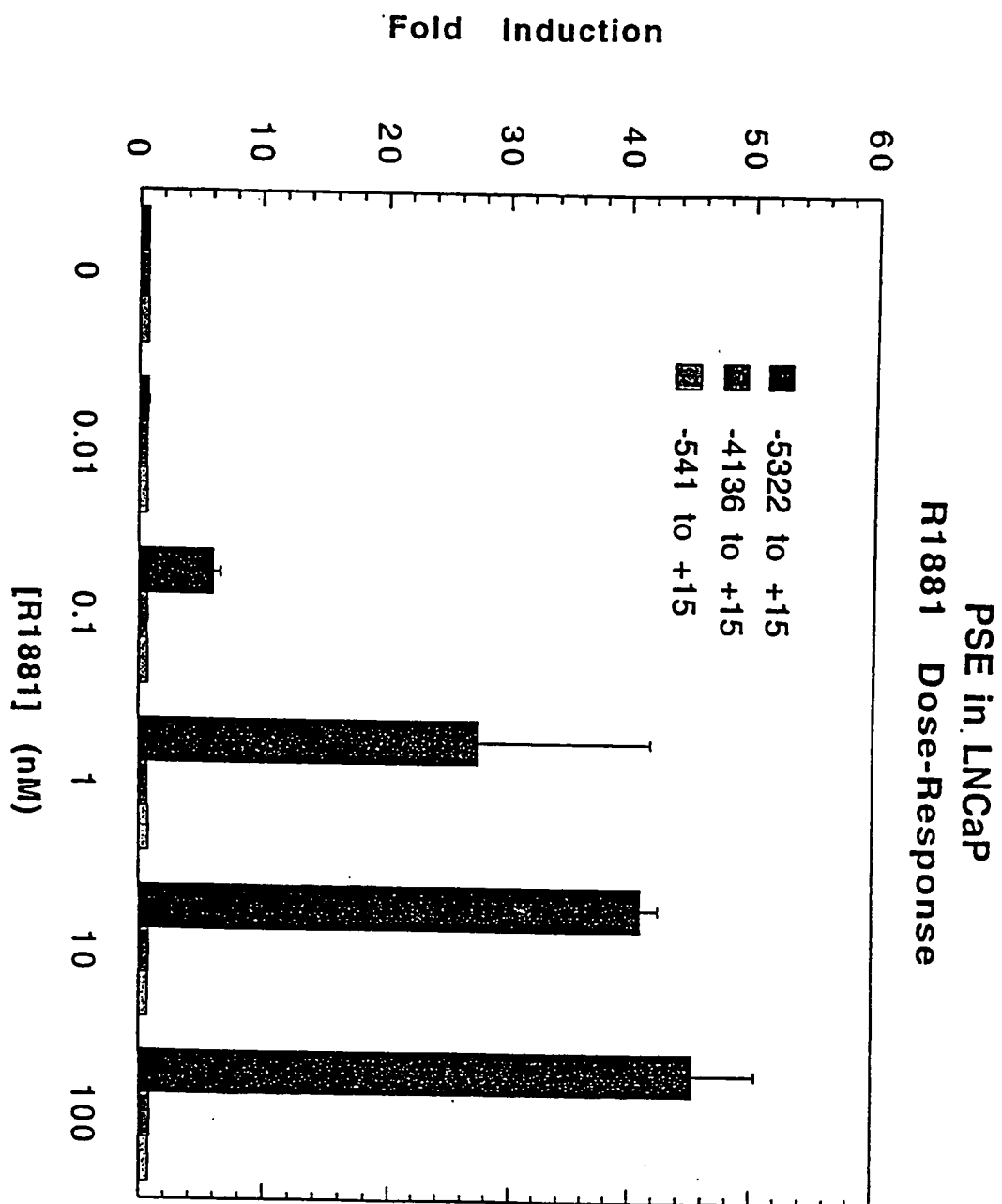


FIG. 7



14/18

Fold Induction

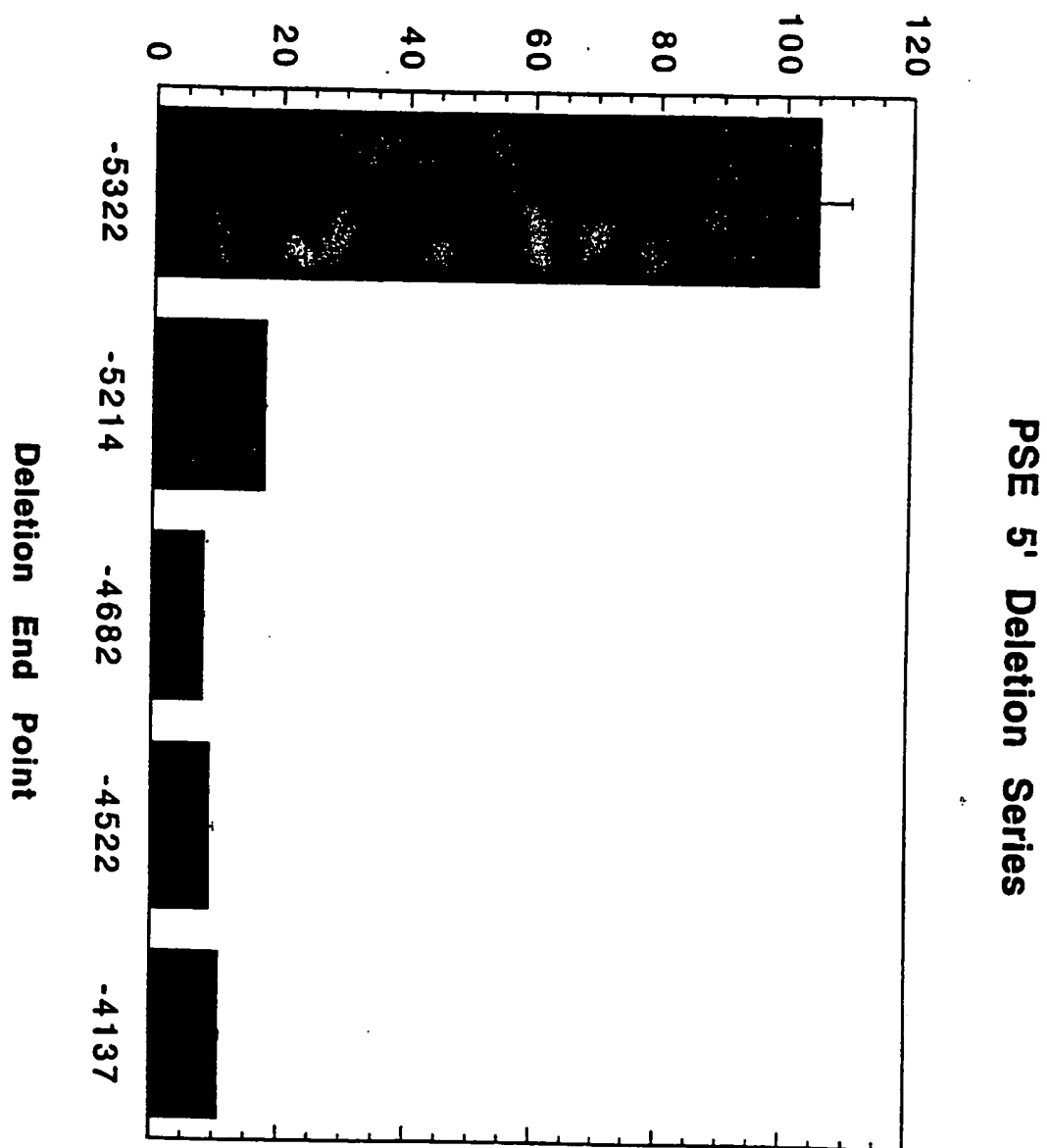


FIG. 8

## PSA Enhancer Location Constructs

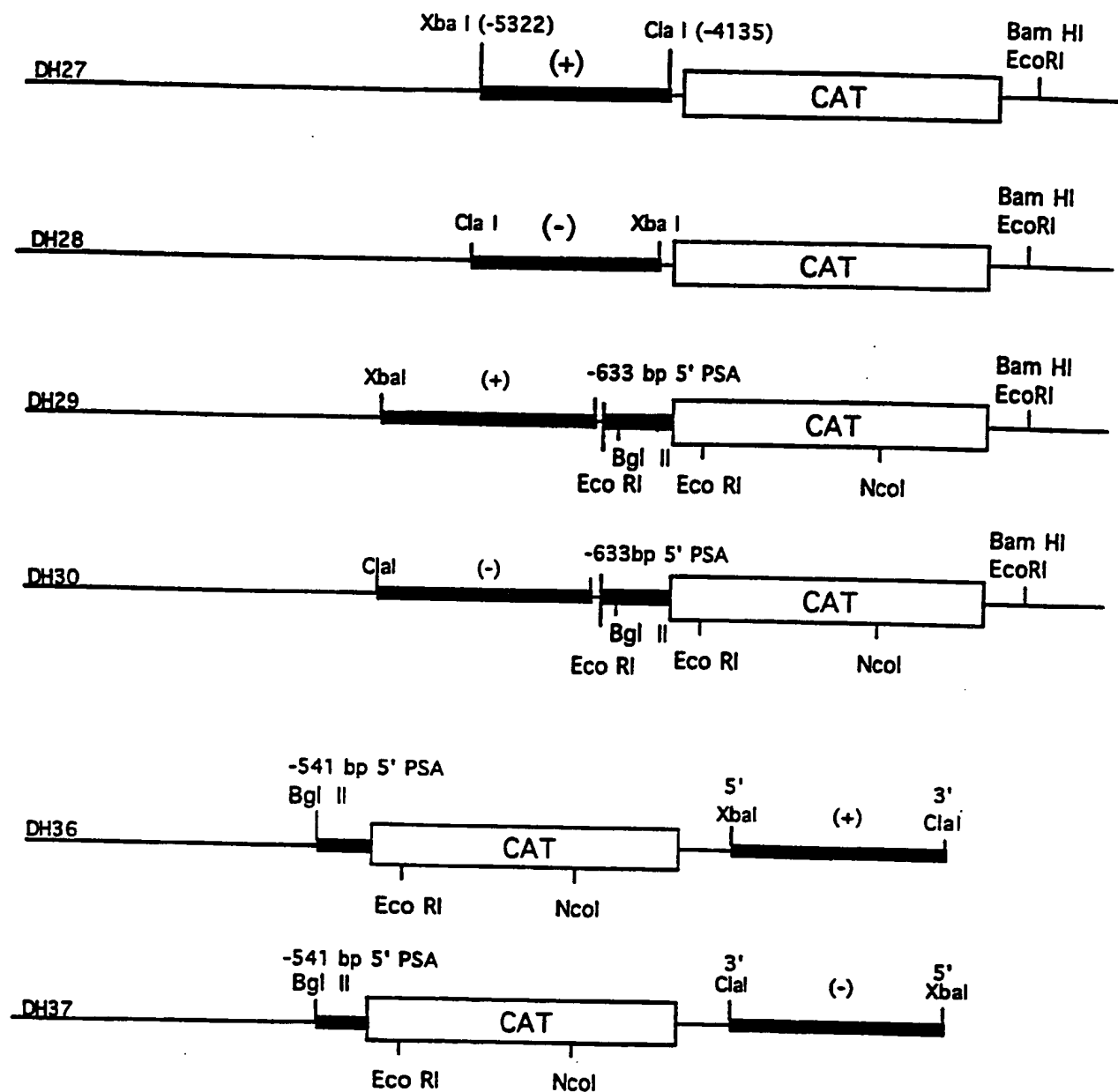


FIG. 9

16/18

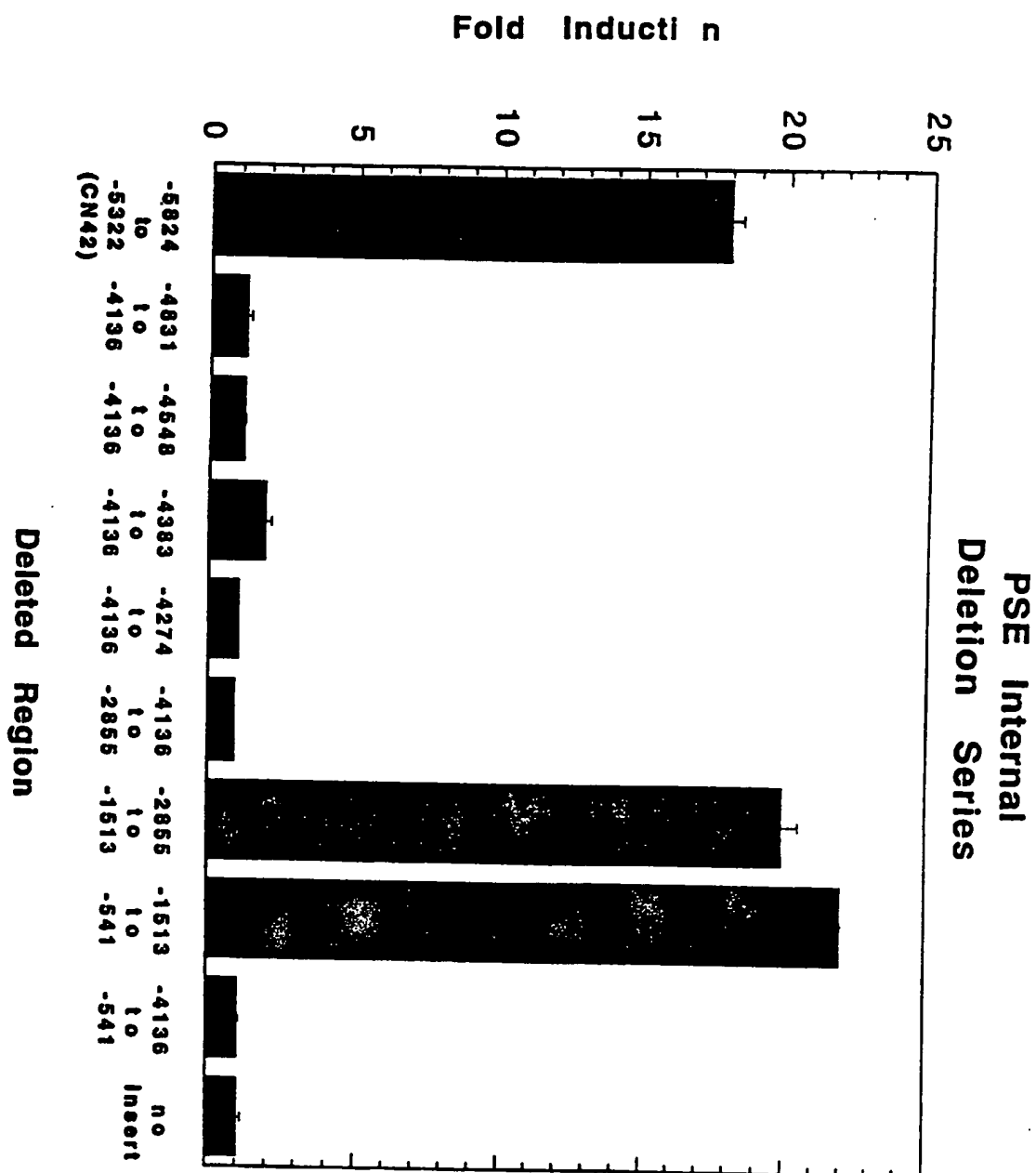


FIG. 10

17/18

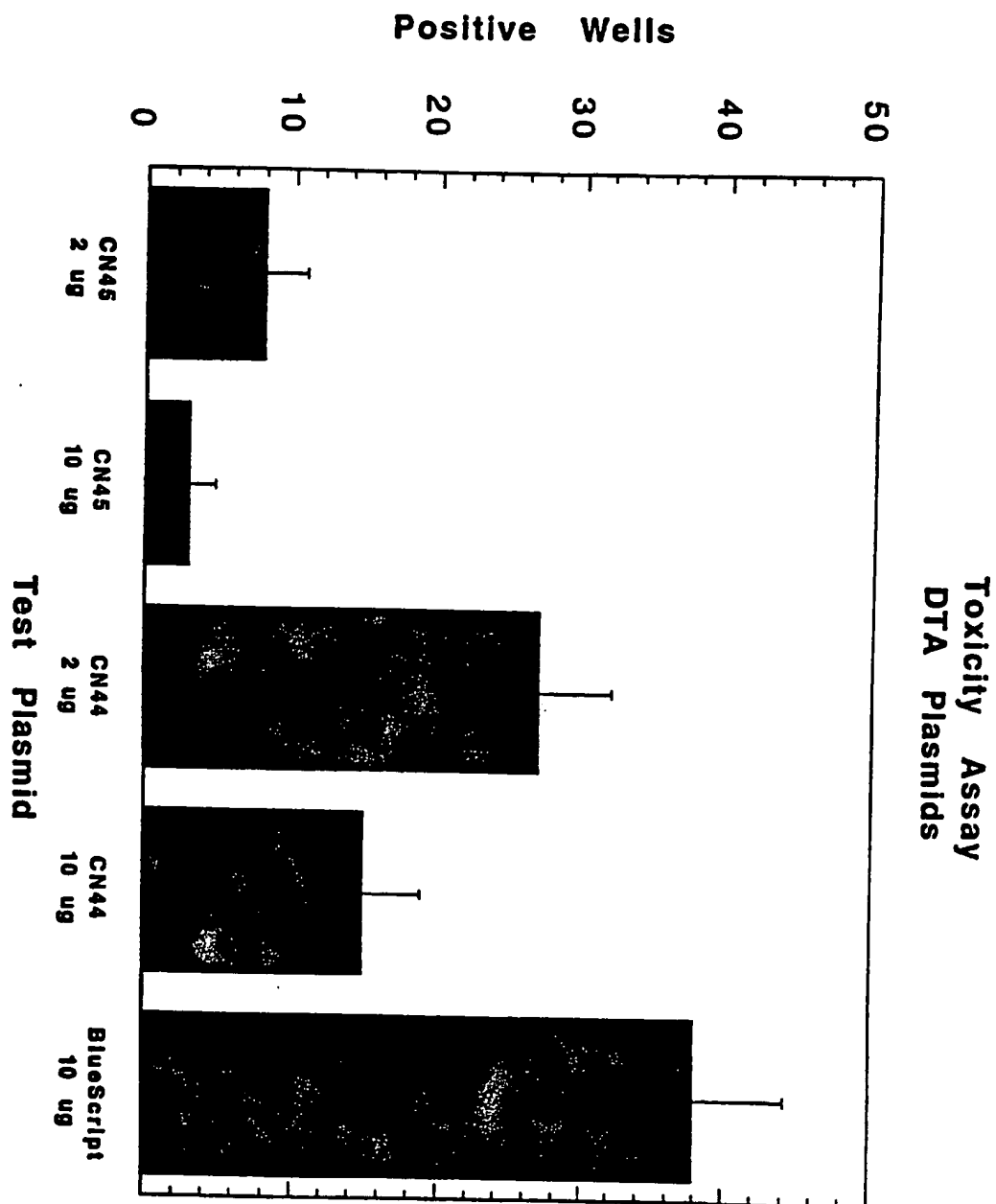


FIG. 11

18/18

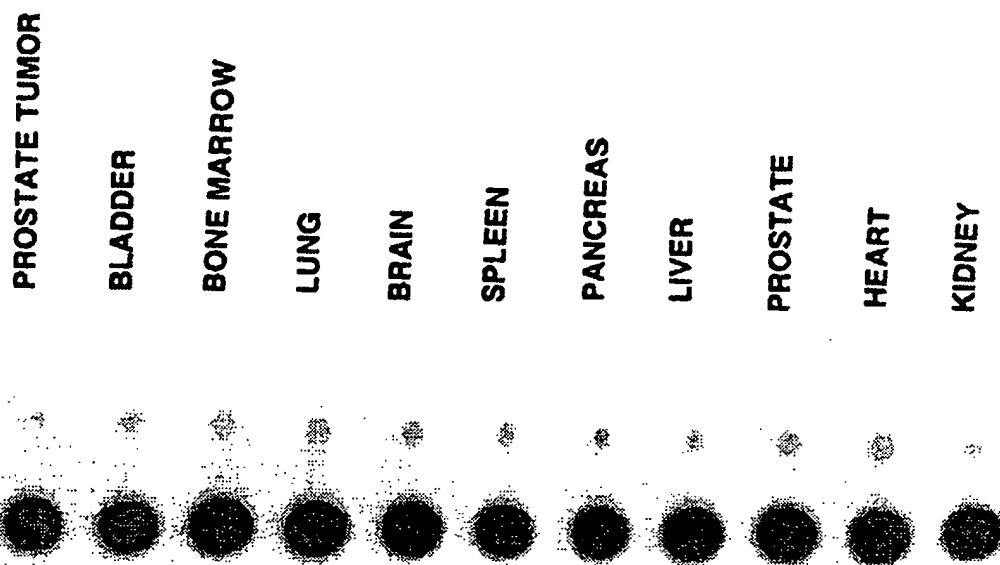


FIG. 12

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US95/00845

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : C12N 15/11, 15/12, 15/86, 15/88; C12P 21/00  
US CL : 536/23.1, 23.5, 24.1; 435/69.1, 320.1; 424/417, 420

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 536/23.1, 23.5, 24.1; 435/69.1, 320.1, 172.3; 424/417, 420; 935/6, 32, 54

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

CAS ONLINE, APS: prostate, specific, antigen?, gene#, dna#, cdna#, ma#, mrna#, promot?, transcri?  
IGSuite: FastDB (Seq ID No. 1 and fragments thereof)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	Biochemical and Biophysical Research Communications, Volume 161, No. 3, issued 30 June 1989, A. Lundwall, "Characterization of the gene for Prostate-specific antigen, a human glandular kallikrein", pages 1151-1159, see entire document.	1-20
Y	Biochemical and Biophysical Research Communications, Volume 159, No. 1, issued 28 February 1989, P.H.J. Riegman et al, "Characterization of the prostate-specific antigen gene: A novel human kallikrein-like gene", pages 95-102, see entire document.	1-20

☒ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	* T	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
* A* document defining the general state of the art which is not considered to be of particular relevance	* X	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
* E* earlier document published on or after the international filing date	* Y	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
* L* document which may throw doubt on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	* A*	document member of the same patent family
* O* document referring to an oral disclosure, use, exhibition or other means		
* P* document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search

25 APRIL 1995

Date of mailing of the international search report

03 MAY 1995

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

JAMES MARTINELL

Telephone No. (703) 308-0196

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US95/00845

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	Molecular and Cellular Biology, Volume 10, No. 8, issued August 1990, D.G. Miller et al, "Gene transfer by retrovirus vectors occurs only in cells that are actively replicating at the time of infection", pages 4239-4242, see entire document.	9, 14, and 18
Y	Cell, Volume 68, issued 10 January 1992, M.A. Rosenfeld et al, "In vivo transfer of the human cystic fibrosis transmembrane conductance regulator gene to the airway epithelium", pages 143-155, see entire document.	9, 10, 14, 15, and 18
Y	Biochemical and Biophysical Research Communications, Volume 147, No. 3, issued 30 September 1987, C-Y. Wang et al, "Plasmid DNA adsorbed to pH-sensitive liposomes efficiently transforms the target cells", pages 980-985, see entire document.	11 and 17
Y	Molecular and Cellular Biology, Volume 7, No. 4, issued April 1987, F. Maxwell et al, "Cloning, sequence determination, and expression in transfected cells of the coding sequence for the <i>tox</i> 176 attenuated diphtheria toxin A chain", pages 1576-1579, see entire document.	5-7, 9, and 10
Y	The Journal of Biological Chemistry, Volume 262, No. 10, issued 05 April 1987, G.Y. Wu et al, "Receptor-mediated <i>in vitro</i> gene transformation by a soluble DNA carrier system", pages 4429-4432, see entire document.	11 and 17

**PCT**WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>7</sup> :</b> <b>C12N 15/86, 5/10</b>	<b>A3</b>	<b>(11) International Publication Number:</b> <b>WO 00/03029</b> <b>(43) International Publication Date:</b> 20 January 2000 (20.01.00)
<b>(21) International Application Number:</b> PCT/NL99/00436 <b>(22) International Filing Date:</b> 8 July 1999 (08.07.99) <b>(30) Priority Data:</b> 98202297.2 8 July 1998 (08.07.98) EP <b>(71) Applicant:</b> INTROGENE B.V. [NL/NL]; Wassenaarseweg 72, NL-2333 AL Leiden (NL). <b>(72) Inventors:</b> HAVENGA, Menzo; Stortemelk 57, NL-2401 BV Alphen aan den Rijn (NL). VOGELS, Ronald; Van Rietlaan 4, NL-3461 HW Linschoten (NL). BOUT, Abraham; Coymansstraat 24, NL-2751 AR Moerkapelle (NL). <b>(74) Agent:</b> OTTEVANGERS, S., U.; Verenigde Octrooibureaux, Nieuwe Parklaan 97, NL-2587 BN The Hague (NL).		<b>(81) Designated States:</b> AU, CA, JP, MX, NZ. <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i> <b>(88) Date of publication of the international search report:</b> 16 March 2000 (16.03.00)
<b>(54) Title:</b> CHIMAERIC ADENOVIRUSES <b>(57) Abstract</b> <p>The present invention provides methods and vector systems for the generation of chimaeric recombinant adenoviruses. These hybrid adenoviruses contain a genome that is derived from different adenovirus serotypes. In particular, novel hybrid adenoviruses are disclosed with improved properties for gene therapy purposes. These properties include: a decreased sensitivity towards neutralizing antibodies, a modified host range, a change in the titer to which adenovirus can be grown, the ability to escape trapping in the liver upon <i>in vivo</i> systemic delivery, and absence or decreased infection of antigen presenting cells (APC) of the immune system, such as macrophages or dendritic cells. These chimaeric adenoviruses thus represent improved tools for gene therapy and vaccination since they overcome the limitations observed with the currently used serotype subgroup C adenoviruses.</p>		



**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon			PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/NL 99/00436

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 7 C12N15/86 C12N5/10

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C12N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 98 22609 A (ARMENTANO DONNA E ;GREGORY RICHARD J (US); GENZYME CORP (US); SMIT) 28 May 1998 (1998-05-28)	1,9
Y	the whole document	2-8
Y	WO 96 26281 A (GENVEC INC ;CORNELL RES FOUNDATION INC (US)) 29 August 1996 (1996-08-29)	2-8
	the whole document	
	-/--	

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### Special categories of cited documents:

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"Z" document member of the same patent family

Date of the actual completion of the international search

17 January 2000

Date of mailing of the international search report

21/01/2000

Name and mailing address of the ISA  
European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HW Rijswijk  
Tel. (+31-70) 340-2040. Tx. 31 651 epo nl.  
Fax: (+31-70) 340-3016

Authorized officer

Mandl, B

# INTERNATIONAL SEARCH REPORT

Interi    nal Application No  
PCT/NL 99/00436

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	GALL J. ET AL.: "ADENOVIRUS TYPE 5 AND 7 CAPSID CHIMERA: FIBER REPLACEMENT ALTERS RECEPTOR TROPISM WITHOUT AFFECTING PRIMARY IMMUNE NEUTRALIZATION EPITOPES" JOURNAL OF VIROLOGY, vol. 70, no. 4, April 1996 (1996-04), pages 2116-2123, XP002050655 cited in the application * the whole document, especially page 2122 *	1,9
X	WO 96 13597 A (UNIV PENNSYLVANIA ;WILSON JAMES M (US); FISHER KRISHNA J (US); CHE) 9 May 1996 (1996-05-09) page 11, line 9 - line 16	10
A	WO 97 20051 A (GENVEC INC ;WICKHAM THOMAS J (US); KOVESDI IMRE (US); BROUGH DOUGL) 5 June 1997 (1997-06-05) the whole document	1-9
A	MASTRANGELI A. ET AL.: ""SERO-SWITCH" ADENOVIRUS-MEDIATED IN VIVO GENE TRANSFER: CIRCUMVENTION OF ANTI-ADENOVIRUS HUMORAL IMMUNE DEFENSES AGAINST REPEAT ADENOVIRUS VECTOR ADMINISTRATION BY CHANGING THE ADENOVIRUS SEROTYPE" HUMAN GENE THERAPY, vol. 7, no. 1, 1 January 1996 (1996-01-01), pages 79-87, XP000653452 the whole document	1-9
P,X	WO 98 40509 A (FALCK PEDERSEN ERIK ;GALL JASON (US); GENVEC INC (US); KOVESDI IMR) 17 September 1998 (1998-09-17) page 7, line 5 - line 11 page 20, line 8 -page 21, line 10 page 22, line 14 - line 31	1,9

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/NL 99/00436

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9822609 A	28-05-1998	US 5877011 A AU 5455298 A EP 0946742 A	02-03-1999 10-06-1998 06-10-1999
WO 9626281 A	29-08-1996	US 5770442 A AU 698254 B AU 4980496 A CA 2213343 A EP 0811069 A JP 11500315 T	23-06-1998 29-10-1998 11-09-1996 29-08-1996 10-12-1997 12-01-1999
WO 9613597 A	09-05-1996	AU 704391 B AU 4405496 A CA 2203809 A EP 0787200 A JP 10507927 T	22-04-1999 23-05-1996 09-05-1996 06-08-1997 04-08-1998
WO 9720051 A	05-06-1997	US 5962311 A US 5846782 A US 5965541 A AU 1086897 A AU 4155597 A BG 102554 A BR 9612685 A CZ 9801623 A EP 0863987 A EP 0920524 A JP 11501219 T NO 982417 A PL 327038 A SK 72298 A WO 9807877 A AU 4080497 A EP 0920514 A WO 9807865 A	05-10-1999 08-12-1998 12-10-1999 19-06-1997 06-03-1998 30-04-1999 20-07-1999 14-10-1998 16-09-1998 09-06-1999 02-02-1999 06-07-1998 09-11-1998 02-12-1998 26-02-1998 06-03-1998 09-06-1999 26-02-1998
WO 9840509 A	17-09-1998	AU 6465298 A	29-09-1998